5th Session of the Committee of Technical Experts
Berne, 23-24 May 2012

Item 4

Report of the Committee of Technical Experts’s standing working group WG TECH
Activities since the 4th session of CTE (September 2011)
1. Results of the 4th session of the Committee of Technical Experts (September 2011)

At the 4th session of the Committee of Technical Experts (CTE) held in Berne on 14 and 15 September 2011, the following regulations were adopted:

1. Uniform Technical Prescriptions (UTP)
   1.1 UTP GEN-A Essential requirements; only renamed from APTU Annex to UTP,
   1.2 UTP GEN-B Subsystems; renamed from APTU Annex to UTP and amended,
   1.3 UTP GEN-C Technical file; only renamed from APTU Annex to UTP,
   1.4 UTP GEN-D Assessment procedures (Modules),
   1.5 UTP GEN-E Assessing Entity – Qualifications and Independence; only renamed from APTU Annex to UTP,
   1.6 UTP GEN-G Common Safety Method (CSM) on Risk Evaluation and Assessment (RA),

2. ATMF Annex A Certification and Auditing of Entities in Charge of Maintenance (ECM).

After notification to the OTIF Member States (MS) on 30 November 2011 (circular A 92-03/506.2011) the regulations UTP-GEN B, UTP GEN-G and ATMF Annex A will enter into force on 1 May 2012.

Legal validity of the UTP GEN-A, UTP GEN-C and UPT GEN-E remained unaffected as they have been renamed only without any amendments to the regulation itself.

The UTP GEN-D regulations will be notified to the OTIF MS in April 2012 (circular A 92-03/508.2012) after successful voting in written procedure of the French and German version of the regulations, due to the fact, that these language versions were not available at the 4th session of CTE. UTP GEN-D will enter into force on 1 October 2012.

The following regulations from the 4th CTE have been postponed for adoption to the 5th session of CTE:

- Uniform Technical Prescriptions (UTP):
  - UTP WAG including 32 Annexes
  - UTP NOI Rolling Stock-Noise,

- Uniform format of certificates (Type & Operation),

- OTIF Register system - Rolling Stock:
  - Amendment to document A 94-20/1.2009 (National Vehicle Register) adopted at the 3rd session of CTE (February 2009),

- ATMF Article 15 § 3 “ensure – ascertain”.
2. Activities of WG TECH

WG TECH held two meetings on:

15th on 16 and 17 November 2011 in Ljubljana and
16th on 14 and 15 February 2012 in Berne.

Delegations from the following 12 MS and the EU (OTIF Member as a regional economic organisation) took part in the meetings:

Austria, Bosnia and Herzegovina, Croatia, Germany, Italy, Luxembourg, Montenegro, Poland, Serbia, Slovakia, Slovenia and Switzerland.

Croatia, Germany, Italy, Serbia and Switzerland and the EU were represented at both meetings.

The supranational organisations ERA and the international non-governmental organisations or associations CER, and UIC were also represented at both meetings.

a. The items dealt with by WG TECH:

- Derogation rules according to Article 7a ATMF
- Uniform Technical Prescriptions (UTP):
  o UTP NOI Rolling Stock-Noise
- Uniform format of certificates (Type & Operation)
- OTIF Register system - Rolling Stock:
  o Amendment to document A 94-20/1.2009 (National Vehicle Register) adopted at the 3rd session of CTE (February 2009)
  o OTIF Register of admitted types of vehicles (RAT)
  o Common ERA-OTIF Register of ECM Certificates
- ATMF Article 15 § 3
- Preventive measures concerning the reduction of the derailment risks for freight traffic
- Work programme of the Committee of Technical Experts for 2012 and 2013
- Preparation of the 5th session of the CTE on 23 and 24 May 2012.

b. The results

WG TECH prepared for adoption/approval by the CTE at its 5th session in May 2012 following regulations:

1. ATMF Annex B: Derogation rules according to Article 7a ATMF
2. UTP NOI Rolling Stock-Noise
3. Uniform format of certificates (Type & Operation)
4. Amendement to document A 94-20/1.2009 (National Vehicle Register) adopted at the 3rd session of CTE (February 2009)

5. Proposal to amplify the Explanatory Notes on Article 15 ATMF. If approved by the CTE, the Explanatory Notes to ATMF Article 15 will be submitted to the next Revision Committee for adoption.