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|  OTIF | GENERAL PROVISIONS Technical File | | | UTP GEN-C Page 1 of 2 |
| Status: IN FORCE | Version: 05 | Ref.: A 94-01C/1.2011 | Original: EN | Date: 01.12.2011 |

APTU Uniform Rules (Appendix F to COTIF 1999)

Uniform Technical Prescriptions (UTP) General Provisions –

TECHNICAL FILE

Explanatory note:

The texts of this UTP which appear across two columns are identical to corresponding texts of the European Union regulations. Texts which appear in two columns differ; the left-hand column contains the UTP regulations, the right-hand column shows the text in the corresponding EU regulations. The text in the right-hand column is for information only and is not part of the OTIF regulations.

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|----------|--|---|--|---------|
| OTIF UTP | | Corresponding text in EU regulations ¹ | | EU ref. |
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1. GENERAL PROVISIONS

The technical file must contain all the necessary documents relating to the characteristics of the subsystem and, where appropriate, all the documents certifying conformity of the interoperability constituents. It should also contain all the elements relating to the conditions and limits of use and to the instructions concerning servicing, constant or routine monitoring, adjustment and maintenance.

IO Dir.
Article 18,
point 3

2. DETAILED REQUIREMENTS TO THE TECHNICAL FILE

Annex VI,
point 4,
rolling stock

The technical file

accompanying the declaration of verification

must be made up as follows:


to include:

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| <ul style="list-style-type: none"> - a description of the subsystem (vehicle/movable railway material); - general and detailed drawings in line with the subsystem as built - electrical and hydraulic diagrams, control-circuit diagrams, description of data-processing (hardware/software) and automatic systems, operating and maintenance manuals, etc.; - a list of interoperability constituents, | | <p>execution,</p> |
| <p>incorporated into the subsystem;</p> | | <p>as referred to in Article 3,</p> |
| <ul style="list-style-type: none"> - if existing, <p>copies of the declarations of conformity or suitability for use</p> | | <p></p> |

of the constituents

with which the abovementioned constituents must be provided in accordance with Article 13 of the

¹ Interoperability Directive 2008/57/EC, published in the EU Official Journal L 191, 18/07/2008.

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|  OTIF | GENERAL PROVISIONS Technical File | | | UTP GEN-C Page 2 of 2 |
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Directive

accompanied, where appropriate, by the corresponding calculation notes and a copy of the records of the tests and examinations carried out by the assessing entities,
on the basis of the common technical specifications,

notified bodies

- the type-examination report/certificate, and the certificate of conformity from the assessing entity

- if available, the ISV and, in such a case, the 'EC' declaration(s) of intermediate subsystem conformity, that accompany the 'EC' certificate of verification, including the result of verification by the notified body of their validity,

- certificate from the notified body responsible for 'EC' verification,

accompanied by corresponding calculation notes and countersigned by itself, stating that the project complies with

the APTU Uniform Rules, and if appropriate RID,

this Directive

and mentioning any reservations recorded during performance of the activities and not withdrawn; the certificate should also be accompanied by the inspection and audit reports drawn up by the same body in connection with its task

as specified in sections 5.3 and 5.4.

(End of document)