

## **Cross-reference document EU/OTIF regulations**

**A 92-00/1.2013 version 08**

**4 November 2013**

*This is a working document of OTIF WG TECH which provides an overview of corresponding EU and OTIF regulations. This document is a tool to keep track of developments on either side in order to anticipate early on such developments. This document is updated for every WG TECH meeting. Despite all efforts to be accurate, this document should be used for information only.*

**Cross reference table of the OTIF and EU regulations**

OTIF DOCUMENTS					RELEVANT EU LEGAL ACT	
Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
A 94-01A/1.2011	UTP GEN-A: General provisions, Essential requirements	15.09.2011/ 30.11.2011	01.12.2011	Draft amended version to reflect changes on EU side, subject to WG TECH 20 and 21	Annex III of Interoperability Directive 2008/57/EC as amended by Directive 2013/09/EU introducing accessibility as an essential requirement	Introducing 'noise' as essential requirement – draft amendment of Annex III to IOD (received positive opinion of the RISC in Oct 2013)
A 94-01B/1.2012	UTP GEN-B: General provisions, Subsystems	15.09.2011/ 30.11.2011	01.05.2012	Stable	Annex II of Interoperability Directive 2008/57/EC as amended by Directive 2011/18/EC (subsystems)	
A 94-01C/1.2011	UTP GEN-C: General provisions, Technical File	15.09.2011/ 30.11.2011	01.12.2011	Draft amended version to reflect changes on EU side, subject to WG TECH 20 and 21	Art 18(3) and Annex VI of Interoperability Directive 2008/57/EC as amended by Directive 2011/18/EC modified Annex VI. This has not been reflected in the OTIF document	New version of Annex VI is planned to be submitted for the opinion of the RISC in Jan 2014.

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
A 94-01D/3.2011	UTP GEN-D: General provisions – assessment procedures (modules)	29.03.2012/ 20.04.2012	01.10.2012	Needs review in function of EU amendments	Decision 2010/713/EU (modules)  Art 15(1), Annexes IV, V and VI of Directive 2008/57/EC as amended by Directive 2011/18/EC (DECLARATION OF VERIFICATION OF SUBSYSTEMS and VERIFICATION PROCEDURE FOR SUBSYSTEMS)	New version of Annexes V and VI is planned to be submitted for the opinion of the RISC in Jan 2014.
A 94-01E/1.2011	UTP GEN-E: General provisions, Assessing Entity-Qualifications and independence	15.09.2011/ 30.11.2011	01.12.2011	Stable	Annex VIII of Interoperability Directive 2008/57/EC	
A 94-01G/1.2012	UTP GEN-G: General provisions, Common Safety Method (CSM) on Risk Evaluation and Assessment (RA)	Version 01: 15.09.2011/ 30.11.2011  Version 03: 12.06.2013/ 10.07.2013	Version 01: 01.05.2012  Version 03: 12.06.2013	Revised version 03 adopted at CTE6, expected to enter into force on 01.01.2014 with application from 21.05.2015	Regulation EC 352/2009 (CSM RA)  In force till 21.05.2015 Commission Implementing Regulation (EU)402/2013 of 30 April 2013  Entry into force 23.05.2013  Application from 21.05.2015	

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
A 94-02/3.2011 version 01	UTP WAG: Subsystem rolling stock freight wagons	24.05.2012/ 27.06.2012	01.12.2012		Decision 2006/861/EC (TSI WAG) as amended by Decision 2009/107/EC Decision 2012/464/EC	An amendment of the revised WAG TSI is in preparation (positive opinion of the RISC in Jun 2013, adoption tentatively in Q4 2013/Q1 2014, A 94- 02/2.2012 v07 had been synchronised

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
A 94-02/2.2012 version 07		12.06.2013/ 10.07.2013		Revised UTP adopted at CTE 6, notified and expected to enter into force on 01.01.2014	Commission Regulation (EU) No 321/2013 of 13 March 2013, applies from 1.1.2014  The marking of freight wagons as set out in EU Commission Decision 2012/757/EU (OPE TSI) and EU Commission Decision 2007/756/EC on National Vehicle Registers as applicable from 1.1.2014.	with it).  Closing of open point on composite brake blocks (ERA recommendation planed for Q1/Q2 2014)  An amendment of the “Interfaces document” (included in CCS TSI 2012/88/EU, to which revised WAG TSI makes reference) is in preparation (opinion of the RISC tentatively in Q2 2014).  New OPE TSI has been adopted Decision 2012/757/EU. New version is planned for vote of the RISC in Q2 2014.
A 94-04/2.2012 version 03	UTP NOI: General provisions – Rolling stock – noise	24.05.2012/ 27.06.2012	01.12.2012	Needs to be revised in line with forthcoming EU revision.	Decision 2011/229/EU (TSI Noise) as amended by Decision 2012/464/EC	Recommendation sent by ERA in Sep 2013 opinion by the RISC: Q2 2014 adoption: Q4 2014)

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
	OPE			<p>Elements related to train composition and use of vehicles are included in draft revised UTP WAG.</p> <p>Full transposition possibly at a later stage.</p>	Decision 2011/314/EU (OPE TSI) amended by Decision 2012/464/EU	<p>Decision 2012/757/EU (revised OPE TSI) applies from 1 January 2014</p> <p>New version is planned for vote of the RISC in Q2 2014.</p>
	CCS			<p>Compatibility with regard to train detection systems should be taken into account when developing vehicle related UTPs</p>	Decision 2012/88/EU (CCS TSI) amended by Decision 2012/696/EU	<p>An amendment of the "Interfaces document" (included in CCS TSI 2012/88/EU, to which revised WAG TSI and draft revised LOC&amp;PAS TSI make reference) is in preparation (opinion of the RISC tentatively in Q2 2014).</p>
	LOC&PAS			<p>Merged TSI will be basis for future OTIF UTP.</p> <p>Other relevant EU documents are:</p> <p>OPE TSI</p>	Decision 2011/291/EU (LOC & PAS TSI conventional rail) amended by Decision 2012/464/EU	<p>Merged HS RST and CR TSI LOC&amp;PAS received positive opinion of the RISC on 24/10/2013 (adoption expected in Q1/Q2 2014).</p>

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
				PRM TSI	Decision 2008/232/EC (RST TSI high speed) amended by Decision 2012/464/EU	
	INF			Merged TSI will be basis for possible future OTIF developments.	Decision 2008/217/EC (INF TSI high speed) amended by Decision 2012/464/EU	Merged HS and CR TSI in the adoption process (planned for opinion of the RISC in Jan 2014, adoption expected in Q2/Q32014)
					Decision 2011/275/EU (INF TSI conventional rail) amended by Decision 2012/464/EU	Merged HS and CR TSI in the adoption process (planned for opinion of the RISC in Jan 2014, adoption expected in Q2/Q32014)
	ENE				Decision 2008/284/EC (ENE TSI high speed) amended by Decision 2012/464/EU	Merged HS and CR TSI in the adoption process (planned for opinion of the RISC in Jan 2014, adoption expected in Q2/Q32014)

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
					Decision 2011/274/EC (ENE TSI conventional rail)  amended by Decision 2012/464/EU	Merged HS and CR TSI in the adoption process (planned for opinion of the RISC in Jan 2014, adoption expected in Q2/Q32014)
	SRT				Decision 2008/163/EC (SRT TSI)  amended by Decision 2011/291/EU Decision 2012/464/EU	Merged HS and CR TSI received positive opinion of the RISC on 24/10/2013 (adoption expected in Q1/Q2 2014)
	PRM				Decision 2008/164/EC (PRM TSI)  amended by Decision 2012/464/EU	On-going revision in the adoption process (planned for opinion of the RISC in Jan 2014, adoption expected in Q2/Q32014)



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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
	TAF			Yes, developments required. First a study should clarify the need for exchange of data during operations.  Young expert task 1.  As a second step, the need for OTIF regulations should be clarified.	Regulation EC 62/2006 (TAF TSI)  amended by Regulation EU 328/2012  amended by REGULATION (EU) No 280/2013	On-going revision in the adoption process (planned for opinion of the RISC in Jan 2014, adoption expected in Q2/Q32014)
	TAP			Yes, but not as a priority. First TAF issues to be clarified.	Regulation 454/2011/EU (TAP TSI)  amended by Regulation 665/2012/EU	
A 94-30/1.2012	ATMF Annex A: Certification and Auditing of Entities in charge of Maintenance (ECM)	15.09.2011/ 30.11.2011	01.05.2012		Regulation (EU) No 445/2011 (ECM)	

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Reference	Title	Adopted/ Notified	In force	On-going and required developments in OTIF	EU documents in force	On-going developments in the EU
A 94-40/3.2012 version 04	ATMF Annex B: Requirements and Procedure for Derogations from application of UTP(s) related to a structural or functional subsystem	12.06.2013 / 10.07.2013		Adopted at CTE 6, notified and expected to enter into force on 01.01.2014	Based on Article 9 of the Directive 2008/57/EC	
A 93-01/2.2012	Uniform format of certificates: Design Type Certificate & Certificate of operation	24.05.2012/ 27.06.2012	01.12.2012	EU/OTIF formats not equivalent. This seems not an obstacle for interoperability.	Commission Regulation (EU) No 201/2011 on the model of declaration of conformity to an authorised type of railway vehicle	
	CSM supervision			No activity foreseen in near future.	Regulation 1077/2012 Common safety methods for supervision	
	CSM monitoring			No activity foreseen in near future.	Regulation 1078/2012 Common safety methods for monitoring	
	CSM authorisation			No activity foreseen in near future.	Regulation 1169/2010 Common safety methods for assessing conformity for obtaining a railway safety authorisation	
	CSM certification			Certification of RUs may be needed at a later stage.	Regulation 1158/2010 Common safety methods for assessing conformity for obtaining a railway safety certificate	

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	CST			No activity foreseen in near future.	Decision 2010/409/EC (Common Safety Targets)  repealed and replaced by Decision 2012/226/EU	
				No activity foreseen in near future.	Decision 2009/460/EC (Common safety method for assessment of achieving of safety targets)	
	Format for safety certificates			Certification of RUs may be needed at a later stage.	Regulation 653/2007  Common European format for safety certificates and application documents and on the validity of safety certificates  amended by Regulation 445/2011/EU	
<b>Registers and databases and specifications thereof</b>					<b>EU</b>	
A 94-20/2.2012	OTIF Register system – Rolling Stock, National Vehicle Registers (NVR)	23.05.2012/ 27.09.2012	01.03.2013	Implementation by several OTIF Contracting States should be improved.	NVR Decision Dec 2007/756/EC as amended (by Decision 2011/107/EU  Amendment by Decision 2012/757/EU (OPE TSI), which transferred rules on vehicle numbering to the NVR Decision (does not need amendment of OTIF NVR regulation)	New WP on rationalisation of vehicle related registers was launched September 2013

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A 95-00/1.2009	Rules for registration of a Vehicle Keeper Marking Code (VKM), amended	12.02.2009/ -	01.04.2009		(according to Annex P of the Traffic Operation and Management TSI 2006/920/EC)  From 01/01/2014 according to Appendix 6 to Dec 2007/756 as amended by Decision 2012/757/EU	New WP on rationalisation of vehicle related registers was launched September 2013
	Reference document for national rules				2011/155/EU specifying The content of the reference document referred to in Article 27(4) of Directive 2008/57/EC	
	Register of admitted types			Establishing an equivalent register of admitted types: legal base in ATMF Art 13(1). To be decided if this should be a priority, depending on the TAF study. In such case, first the data to be included should be specified.	2011/665/EU specifying the European register of authorised types of railway vehicles (ERATV)	ERATV is operational and being filled with new vehicles.  New WP on rationalisation of vehicle related registers was launched September 2013
	RINF				2011/633/EU setting out the common specifications of the register of railway infrastructure (RINF)	Not yet fully operational

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