



ORGANISATION INTERGOUVERNEMENTALE POUR LES TRANSPORTS INTERNATIONAUX FERROVIAIRES
ZWISCHENSTAATLICHE ORGANISATION FÜR DEN INTERNATIONALEN EISENBAHNVERKEHR
INTERGOVERNMENTAL ORGANISATION FOR INTERNATIONAL CARRIAGE BY RAIL

**Commission d'experts techniques
Fachausschuss für technische Fragen
Committee of Technical Experts**

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DIFERENT TEMPLATES USED FOR ECM CERTIFICATES

Discussion document for WG TECH 25

TASK:

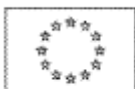
At its 24th session, WG TECH decided that the Secretariat should analyse apparent small layout differences between Annex V of the ECM UR and Annex V of the EU Regulation 445/2011.

The OTIF template has a dedicated space for the “ECM identification number”, whereas the EU template does not. Both have a space for the number of the previous certificate.

In this paper, the Secretariat copied examples of the different Certificates in use in the EU and in OTIF. On each of the examples the space for the identification number and the internal reference number are indicated, so they can be easily compared.

***Note:** For the purpose of reviewing it was assumed that the ECM Certificate and Maintenance Function Certificate templates are practically the same.*

The ECM Certificate template is based on the **EU Safety Certificate** template of 2007, which has a prominent top-of-the-page place reserved for the Identification Number (link to pdf: <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2007:153:0009:0024:EN:PDF>).



SAFETY CERTIFICATE — PART A

Safety Certificate confirming acceptance of the Safety Management System within the European Union in conformity with Directive 2004/49/EC and applicable national legislation

EU IDENTIFICATION NUMBER

1. CERTIFIED RAILWAY UNDERTAKING

Legal denomination:	
Railway undertaking name:	Acronym:
National registration number:	VAT No:

2. CERTIFICATE ISSUING ORGANISATION

Organisation:
Country:

3. CERTIFICATE INFORMATION

This is a <ul style="list-style-type: none"> — new certificate <input type="checkbox"/> — renewed certificate <input type="checkbox"/> — updated/amended certificate <input type="checkbox"/> 	EU Identification Number of the previous certificate:
Validity from:	to:
Type(s) of service(s):	
Transportation volume:	
Railway undertaking size:	

4. APPLICABLE NATIONAL LEGISLATION

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5. ADDITIONAL INFORMATION

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Date issued

--

Signature _____

Internal reference number

--

Authority's stamp

--

OTIF ECM Certificate template - [Annex A ATMF \(ECM regulations\)](#):



ENTITY IN CHARGE OF MAINTENANCE CERTIFICATE

confirming acceptance of the maintenance system of an entity in charge of maintenance (ECM) within the OTIF Contracting States in conformity with ATMF, the European Union in conformity with Directive Article 15 and Annex A. 2004/49/EC and Regulation No 445/2011.

1. CERTIFIED ENTITY IN CHARGE OF MAINTENANCE

Legal title:	
Commercial designation or acronym (voluntary)	
Complete postal address (street, postal code, city, country)	
Registration business number:	VAT No:

2. CERTIFICATION BODY

Legal title:
Complete postal address (street, postal code, city, country)
Certification body reference number:

3. CERTIFICATE INFORMATION

This is a - new certificate <input type="checkbox"/> - renewed certificate <input type="checkbox"/> - updated/amended certificate <input type="checkbox"/>	ECM Identification Number *: ___/___/___/___/___ ECM Identification Number of the previous certificate *: ___/___/___/___/___
Validity from:	to:
Type of company: (railway undertaking, keeper, maintenance supplier, etc.)	

4. SCOPE OF ECM ACTIVITIES

Covers tank wagons for dangerous goods	YES/NO
Covers other wagons specialised in transport of dangerous goods	YES/NO

5. ADDITIONAL INFORMATION

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Date issued and validity	Signature
<input style="width: 100%;" type="text"/>	<hr style="border: none; border-top: 1px solid black;"/>
Internal reference number	Certification body's stamp
<input style="width: 100%;" type="text"/>	<div style="border: 1px solid black; width: 100%; height: 80px;"></div>



ENTITY IN CHARGE OF MAINTENANCE CERTIFICATE

confirming acceptance of the maintenance system of an entity in charge of maintenance (ECM) within the European Union in conformity with Directive 2004/49/EC and Regulation (EU) No 445/2011

1. CERTIFIED ENTITY IN CHARGE OF MAINTENANCE

Legal title:	
Commercial designation or acronym (voluntary)	
Complete postal address (street, postal code, city, country)	
Registration business number:	VAT No:

2. CERTIFICATION BODY

Legal title:
Complete postal address (street, postal code, city, country)
Certification body reference number:

3. CERTIFICATE INFORMATION

This is a — new certificate <input type="checkbox"/> — renewed certificate <input type="checkbox"/> — updated/amended certificate <input type="checkbox"/>	ECM Identification Number of the previous certificate:
Validity from:	to:
Type of company: (railway undertaking, keeper, maintenance supplier, etc.)	

4. SCOPE OF ECM ACTIVITIES

Covers tank wagons for dangerous goods	YES/NO
Covers other wagons specialised in transport of dangerous goods	YES/NO

5. ADDITIONAL INFORMATION

--

Date issued and validity

--

Signature

--

Internal reference number

--

Certification body's stamp

--

Annex E 2 (Certificate Form) of the Memorandum of Understanding establishing the basic principles of a common system of certification of ECM for freight wagons dated 14.5.2009, (Link to the file: [A_93-02_2_2009_signed_22_01_2010_CH_ad_e](#))



**CERTIFICATE
for the
ENTITY in CHARGE of MAINTENANCE**

confirming acceptance of the Maintenance System within the European Union in conformity with the Memorandum of Understanding and its national implementation measures

EU IDENTIFICATION NUMBER

1. CERTIFIED ENTITY in CHARGE of MAINTENANCE

Legal denomination:	Acronym:
National registration number:	VAT No:

2. CERTIFICATION BODY

Organisation:
Country:

3. CERTIFICATE INFORMATION

This is a	- new certificate <input type="checkbox"/> - renewed certificate <input type="checkbox"/> - updated/amended certificate <input type="checkbox"/>	EU Identification Number of the previous certificate:
Validity from:		to:
Type of company: (keeper, maintenance supplier, railway undertaking, infrastructure manager, etc)		
Maintenance is	- done with own means <input type="checkbox"/> - contracted out <input type="checkbox"/>	

4. APPLICABLE NATIONAL IMPLEMENTATION MEASURES

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5. ADDITIONAL INFORMATION

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Date issued	Signature
<input style="width: 200px;" type="text"/>	_____
Internal reference number	Certification Body's stamp
<input style="width: 200px;" type="text"/>	<input style="width: 250px; height: 40px;" type="text"/>

WHAT WAS NOTED:

1. The authorities using the templates use the Internal Reference Number and the ECM Identification Number differently. Consequently, the locations of the ECM Identification Number on the ECM Certificate in OTIF CS differ, i.e.:
 - The Internal Reference Number is **equivalent** to the ECM Identification Number in AT, BE, CZ, DE, EL, ES, FR, HU, IT, NL, PL, PT, RO, SE, SI, SK, UK.
 - The Internal Reference Number is **equivalent** to the ECM Identification Number, but the ECM Identification Number is also written in box 3 of the Certificate, according to OTIF's Certificate template (TR).
 - The Internal Reference Number is **different** to the ECM Identification Number; where the ECM Identification Number is written in box 3 of the Certificate, according to OTIF's Certificate template (BA, HR, ME and RS).
 - The Internal Reference Number is **different** to the ECM Identification Number; however, the ECM Identification Number is located at the top of the Certificate (BG, LT and CH).
 - Although the Internal Reference Number and ECM Identification Number **differ**, they are written at the same place at the end of the document (IE).
 - On some Certificates the ECM Identification Number does not exist. Only the Internal Reference Number exists, which is not structured in accordance with the format for the ECM Identification Number (FI).
 - Despite the differences noted in dealing with the numbering, **in the ERADIS database all Certificates have a unique ECM Identification Number in accordance with the correct structure (XY/31/abyy/nnnn)**. This can be explained by the fact that Certification bodies (individually) enter data in the ERADIS database.
2. In the ERADIS database, the ECM Identification Number is referenced in the column headed "Internal reference number".
3. Apparently, the existing process of ECM Certification works in practice and creates no ambiguities in the ERADIS database (all Certificates are entered correctly according to the ECM identification number structure).

SUGGESTION:

As a first step, the OTIF Secretariat suggests adding the Maintenance function certificate template with a similar format as the existing ECM certificate (with room for the ECM certification number in box 3). As a second step, it is suggested that changes be made in parallel with the changes to the ECM regulation at EU level during the revision process of the ECM regulation.