

Organisation intergouvernementale pour les transports internationaux ferroviaires

Zwischenstaatliche Organisation für den internationalen Eisenbahnverkehr

Intergovernmental Organisation for International Carriage by Rail

Uniform Technical Prescription

Subsystem: operation and traffic management

TRAIN COMPOSITION
AND ROUTE
COMPATIBILITY CHECKS

UTP TCRC

Applicable from Click here to enter a date.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018 Original: EN Date: 19.05.2020

UTP TCRC

Page 2 of 31

Status: DRAFT Vers

Amendments record

Reference	Date	Description and comments					
Version 1	19.05.2020	First draft for review by WG TECH 40 This UTP is drafted on the basis of EU texts: • Regulation 2019/773 of 16 May 2019 (OPE TSI) • Regulation 2019/777 of 16 May 2019 (RINF)					
		Regulation 2015/11/10 110 that 2015 (Ref. 17)					

Background and explanation

[This background and explanation is not intended to become part of the UTP and to be deleted before adoption.]

This draft UTP is different from most other UTPs, in that it is not based on one single European Union Technical Specification for Interoperability (TSI). Instead, it gathers a select number of parameters from two different EU legal texts, namely the Operation and traffic management (OPE) TSI and the specifications for the register of infrastructure (RINF).

The parameters in this UTP are necessary for the harmonised implementation and correct application of the ATMF provisions, in particular Article 6 § 2 and Article 15a which lay down responsibilities for railway undertakings for when using vehicles in international traffic.

This UTP covers two different subjects:

- Train composition, which is the process in which the railway undertaking, based on the technical file of each vehicle, prepares the train for operation and ensures that all vehicles in the train and the train as a whole meet the essential requirements, and
- Route compatibility checks, in which the railway undertaking, based on route information provided by the infrastructure manager, ascertains that the train is compatible with the route on which it intends to run the train.

Both subjects are at EU level contained in the OPE TSI, with additional relevant information with respect to route compatibility checks in RINF.

Although the main substance in the UTP is taken over from the OPE TSI, not all matters dealt with by the OPE TSI have been taken over as some matters are not relevant in the scope of ATMF. This UTP does, for example, not deal with the actual operation of trains, or safety certification and licencing of railway undertakings and infrastructure managers as these subject do not fall within the scope of APTU or ATMF and therefore remain subject to the law applicable in each Contracting State.

The development of rules concerning train composition and route compatibility checks have some history in OTIF.

The 5th session of the CTE held in 2012 concluded that it was necessary to develop OTIF regulations in the domain of safety management. On its initiative the 17th WG TECH



Status: DRAFT

UNIFORM TECHNICAL PRESCRIPTIONS (UTP)

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018

Original: EN

Page 3 of 31
Date: 19.05.2020

UTP TCRC

established the ad-hoc subgroup for safety which convened for three sessions in 2012-2013. The aim of the subgroup was to analyse what was needed and the possibilities in terms of developing certain safety management principles in OTIF's regulations. The ad-hoc subgroup for safety wrote in its conclusions:

The functionality and compatibility of the interfaces between wagons, such as the coupling system and the brake system, are not mandatorily harmonised in the draft revised UTP/TSI WAG. The UTP/TSI fully covers the safety of these interfaces, in the sense that it is possible to operate the wagon safely when using it in accordance with the conditions and limits of use as defined in the technical file. This does not mean that every wagon can be operated in combination with every other wagon. During train composition and loading, the conditions and limits of use of each individual vehicle must be clear and must be respected and the requirements applicable to the train must be complied with.

The ad-hoc subgroup for safety recommended:

- As a first step to revise the UTP WAG including provisions relating to train composition and the use of wagons.
- Secondly to consider any necessary amendments to ATMF and its explanatory notes.
- Thirdly to consider the development of a UTP OPE.

The CTE endorsed the conclusions and recommendations of the ad-hoc subgroup for safety. The implementation of the recommendations resulted in the actions as follows.

Firstly, Appendix I to the UTP WAG which entered into force on 1 January 2014, set out provisions related to train composition and the use of wagons. Subsequently also the UTP LOC&PAS which entered into force on 1 January 2015 contained similar provisions in its Appendix K. Both Appendices to the UTPs were based on the OPE TSI (Commission Decision 2012/757/EC of 14 November 2012).

Secondly, ATMF was revised, most notably by adding Article 15a Train composition and operation. The revised version entered into force on 1 July 2015.

Subsequently also the APTU was revised, with entry into force on 1 March 2019, adding in Article 8 § 4 the requirement that UTPs should: "indicate the parameters of the vehicles and fixed subsystems to be checked by the railway undertaking and the procedures to be applied to check those parameters to ensure compatibility between vehicles and the routes on which they are to be operated."

This draft UTP on train composition and route compatibility checks (UTP TCRC) is effectively the implementation of the third recommendation.

On this basis, the draft UTP WAG (proposal for adoption June 2020) and the draft UTP LOC&PAS (draft working document for WG TECH 40, June 2020) contain references to route compatibility checks in which railway undertakings check all relevant parameters of freight wagons to ensure their compatibility with the route on which they will be used. The provisions of the draft UTP TCRC are intended to replace both Appendix I to the 2014 UTP WAG and Appendix K to the 2015 UTP LOC&PAS.



Status: DRAFT

UNIFORM TECHNICAL PRESCRIPTIONS (UTP)

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018

Original: EN

UTP TCRC Page 4 of 31

Date: 19.05.2020

APTU Uniform Rules (Appendix F to COTIF 1999)

Uniform Technical Prescriptions (UTP) concerning Train composition and route compatibility checks

This UTP has been developed in accordance with Article 8 of APTU (Appendix F to COTIF), in the version as last amended by the OTIF Revision Committee 2018, which entered into force on 1 March 2019. For definitions see also Article 2 of APTU and Article 2 of ATMF (Appendix G to COTIF).

Explanatory note:

The texts of this UTP which appear across two columns are identical in substance to corresponding texts of the European Union regulations. Texts which appear in two columns differ; the left-hand column contains the UTP text, the right-hand column shows the text in the corresponding EU regulations. The text in the right-hand column is for information only and is not part of the OTIF regulations.

0. EQUIVALENCE

- (1) Owing to the complexity of interfaces between trains and the routes on which trains are intended to run, it is necessary that:
 - Trains are composed and checked before departure according to common rules and
 - The interfaces between trains, including all vehicles in the trains, and the routes on which the trains are intended to run, are checked according to common rules and
 - That procedures and responsibilities for performing these tasks are harmonised.
- (2) As required by Article 8 § 4 letter i) of APTU, this UTP indicates the parameters of the vehicles and fixed subsystems to be checked by the railway undertaking and the procedures to be applied to check those parameters to ensure compatibility between vehicles and the routes on which they are to be operated..

As this UTP is based on multiple texts from European Union law and as there is not one corresponding TSI, this UTP does not follow



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

riain al. ENI

Page 5 of 31

Date: 19.05.2020

UTP TCRC

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

the standard structure for UTPs as referred to in APTU Article 8 § 4.

(3) Following their adoption by the Committee of Technical Experts, the provisions in this UTP are equivalent to the corresponding European Union regulations within the meaning of Article 13 § 4 letter b) of APTU.

The relevant parameters listed in this document are equivalent to the following provisions at European Union level:

- a) Chapters 1 to 4 are equivalent to the following provisions of the European Union OPE TSI (Commission Implementing Regulation (EU) No 2019/773 of 16 May 2019):
 - 4.2.2.5 Route compatibility and train composition
 - 4.2.2.6 Train braking
 - 4.2.2.7 Ensuring that the train is in running order
- b) The Annex to this UTP is equivalent to:
 - Appendix D1 of OPE TSI (Commission Implementing Regulation (EU) No 2019/773 of 16 May 2019);

-

(4) Table 1 of the Annex to the European Union common specifications for the register of railway infrastructure – RINF (Commission Implementing Regulation (EU) 2019/777 of 16 May 2019) was considered in preparing the Annex to this UTP.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Page 6 of 31

UTP TCRC

Date: 19.05.2020

Status: DRAFT Version 1

Ref.: TECH-20018

Original: EN

(5) The objectives and scope of COTIF and the EU law concerning railways are not identical and it has therefore been necessary to use different terminology for concepts that have a similar but not identical meaning. The following table lists the terms used in this UTP and the corresponding terms used in the relevant TSI:

This UTP	EU law
Uniform Technical Prescriptions (UTP)	Technical Specification of Interoperability (TSI)

(6) Footnotes provide explanation and are not part of the rules.

1. SCOPE AND PURPOSE

- (1) For the purpose of the composition of trains for use in international traffic and for the purpose of checking the compatibility between trains and the routes on which they are intended to be used, this UTP lays down detailed provisions concerning the responsibilities of railway undertakings and infrastructure managers as defined in Article 6 § 2 and Article 15a of ATMF.
- (2) In particular, this UTP prescribes:
 - The responsibilities of infrastructure managers to provide information and facilitate the procedures applied by railway undertakings;
 - The procedures to be applied to check those parameters to ensure compatibility between vehicles and the routes on which they are to be operated;
 - The responsibilities of railway undertakings for the composition and preparation of trains and pre departure checks of trains intended to be operated in international transport;



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Page 7 of 31

UTP TCRC

Status: DRAFT Version 1 Ref.: TECH-20018 Original: EN Date: 19.05.2020

• The parameters of the vehicles and fixed subsystems to be checked by the railway undertaking.

(3) For any other matter, including, but not limited to, the operation of trains, safety certification and licencing, railway undertakings and infrastructure managers are subject to the law applicable in each Contracting State.

2. ROUTE COMPATIBILITY

2.1. Obligations of the Railway Undertaking

(1)

Route Compatibility, letter A

f The railway undertaking is responsible for ensuring that all vehicles composing its train are compatible with the intended route(s).

In accordance with Articles 6 § 2 and 15a of ATMF, it is the responsibility of the railway undertaking to ensure that trains are correctly prepared so that all vehicles of which the train is composed are only operated on compatible infrastructure.

To this end railway undertaking shall apply a process to check that all vehicles it uses are admitted to international traffic,

The railway undertaking shall have a process in its SMS to ensure that all vehicles it uses are authorised,

European Union OPE TSI point 4.2.2.5.1,

registered and compatible with the intended route(s) including the requirements to be followed by its staff. The route compatibility process shall not duplicate

checks that have been performed as part of the vehicle admission process

processes performed as part of the vehicle authorisation under Commission Implementing Regulation (EU) 2018/545

to ensure technical compatibility between the vehicle and the network(s).

Parameters set out in the Annex to this UTP which have already been verified and checked during vehicle

admission according to ATMF or authorisation in accordance with EU law

authorisation or other similar processes

shall not be reassessed in the framework of route compatibility check.

(2) The relevant vehicle data related to the parameters listed in the Annex to this UTP shall be provided by the holder of the Certificate of Operation to the railway undertaking upon request, when such

For vehicle authorised under Directive (EU) 2016/797, the relevant vehicle data related to the parameters listed in Appendix D1, already checked during the authorisation process, being part of:



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 8 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

information is not available to the railway undertaking through

- the file referred to in Article 21 (3) of Directive (EU) 2016/797, and
- the vehicle authorisation as referred to in Article 21 (10) of Directive (EU) 2016/797,

shall be provided by the applicant referred to in Article 2 (22) of Directive (EU) 2016/797 or the keeper to the railway undertaking upon request, when such information is not available in ERATV or other registers for rail vehicles.

For vehicles authorised before Directive (EU) 2016/797, the relevant vehicle data related to the parameters listed in Appendix D1 shall be provided to the railway undertaking by the holder of the vehicle authorisation documentation or the keeper upon request, when such information is not available in ERATV or other

registers for rail vehicles.

The process to be applied by the railway (3) undertaking

The processes for route compatibility in the SMS of the railway undertaking

shall include the following checks, which may be performed in parallel at any appropriate time or in any appropriate sequence:

a) each vehicle is

according admitted to **ATMF** or authorised in accordance with EU law and registered in a vehicle register;

authorised and registered;

- b) each vehicle in the train is compatible with the route;
- c) the composition of the train is compatible with the route and the path;
- d) the preparation of the train ensuring that the train is correctly formed and complete.

2.2. **Obligations of the Infrastructure Manager**

(1)

European Union OPE TSI point 4.2.2.5.1, Route Compatibility, letter B

The infrastructure manager shall provide the information for route compatibility as defined in the Annex to this UTP.

through RINF.

(2)

The railway undertaking shall check all Appendix D1 sets out all the parameters that relevant parameters listed in the Annex to this | shall be used in the process of the railway



Status: DRAFT

UNIFORM TECHNICAL PRESCRIPTIONS (UTP)

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018

LITY UTP TCRC
Page 9 of 31

undertaking before the first use of a vehicle or

Original: EN

Date: 19.05.2020

UTP before it uses a vehicle or a train configuration for the first time on a particular route.

train configuration in order to ensure all vehicles composing a train are compatible with the route(s) the train is planned to operate on including, where appropriate, deviation routes and routes to workshops. Modifications of the route and changes of infrastructure characteristics have to be taken into account. When a parameter of Appendix D1 is harmonised at network(s) level of an area of use, conformity with that parameter may be presumed for any vehicle authorised for that area of use. National rules or additional national requirements for network access in respect of route compatibility are in principle considered incompatible with Appendix D1. The infrastructure manager shall not require additional technical checks for the purpose of route compatibility beyond the list laid down in Appendix D1.

(3) The infrastructure manager shall provide to railway undertakings all relavent route information listed in the Annex to this UTP free of charge, as soon as possible and in electronic format.

The information may be made available through providing access to an electornic register containing the information.

As required by Article 23 (1) (b) of Directive (EU) 2016/797, until RINF provides all necessary information in respect of the relevant parameters, the infrastructure manager shall provide this information through other means free of charge as soon as possible and in electronic format to railway undertakings, authorized applicants for path requests and, where applicable, for the applicant referred to in Article 2 (22) of Directive (EU) 2016/797.

The first submission of route compatibility information by the infrastructure manager through other mean than RINF shall be delivered at the request of the railway undertaking as soon as reasonably possible and in any event within 15 days unless the infrastructure manager and the railway undertaking agree a longer deadline.

The infrastructure manager shall ensure that the information provided to the railway undertaking(s) is complete and accurate.

The infrastructure manager shall inform the railway undertaking of the changes on characteristics of the route

whenever such information becomes available. The information may be made available through providing access to an electornic register containing the information. through RINF whenever such information becomes available or through other means until RINF allows for such functionality.

OTIF

Status: DRAFT

UNIFORM TECHNICAL PRESCRIPTIONS (UTP)

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018

Original: EN

Page 10 of 31
Date: 19.05.2020

UTP TCRC

For emergency situations or real time information, the infrastructure manager shall ensure immediate information is given to the railway undertaking through appropriate means of communication.

2.3. Additional elements for route compatibility

European Union OPE TSI point 4.2.2.5.1, Route Compatibility, letter C

Additional elements for route compatibility shall be checked when relevant:

a) transport of dangerous goods;

as referred in point 4.2.3.4.3;

- b) quieter route as referred in UTP Noise;
- c) exceptional transport
 - , i.e. a vehicle and/or the load carried which because of construction /design, dimensions or weight does not meet the parameters of the route and requires special authority for the movement and may require special conditions over part or its entire journey;

as referred in Appendix I;

d) access conditions to underground stations for diesel and other thermal traction systems as referred in clause 4.2.8.3 of UTP LOC&PAS.

3. TRAIN COMPOSITION

European Union OPE TSI point 4.2.2.5.2, Train composition

Train composition requirements shall take into account the following elements according to the allocated path:

- a) all vehicles composing a train including their loads:
 - shall be compatible with all the requirements applicable on the routes over which the train shall run;
 - shall be fit to run at the maximum speed at which the train is scheduled to run;
- b) all vehicles on the train shall remain within their specified maintenance interval for the duration (in terms of both time and distance) of the journey being undertaken;
- c) the train composed of vehicles including their loads, shall comply with the technical and operational constraints of the route concerned and be within the maximum length permissible for forwarding and receiving terminals;
- d) the railway undertaking is responsible for ensuring that all vehicles composing the train including their load are technically fit for the journey to be undertaken and remains so throughout the journey.

The railway undertaking may need to consider additional constraints due to the type of braking regime or traction type on a particular train.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Status: DRAFT Version 1 Ref.: TECH-20018

Original: EN

Page 11 of 31

UTP TCRC

Date: 19.05.2020

(see point 4.2.2.6).

4. TRAIN BRAKING

4.1. Minimum requirements of the braking system

European Union OPE TSI point 4.2.2.6.1

All vehicles in a train shall be connected to the continuous automatic braking system as defined in the UTP LOC&PAS and UTP WAG. The first and last vehicles (including any traction units) in any train shall have the automatic brake operative. In the case of a train becoming accidentally divided into two parts, both sets of detached vehicles shall come automatically to a stand as a result of a maximum application of the brake.

4.2. Braking performance and maximum speed allowed

European Union OPE TSI point 4.2.2.6.2

(1) The infrastructure manager shall provide the railway undertaking with all relevant line characteristics for each route:

through RINF:

- a) Signalling distances (warning, stopping) containing their inherent safety margins,
- b) gradients,
- c) maximum permitted speeds, and
- d) conditions of use of braking systems possibly affecting the infrastructure such as magnetic, regenerative and eddy-current brake.

The infrastructure manager shall provide this information free of charge and as soon as reasonably possible.

Until RINF provides the relevant parameters, the infrastructure manager shall provide this information through others means free of charge and as soon as reasonably possible and in any event within 15 days for the first submission unless the railway undertaking agrees a longer deadline.

The infrastructure manager shall inform the railway undertaking of the changes on the line characteristics

whenever such information becomes available. The information may be made available through providing access to an electornic register containing the information. through RINF whenever such information becomes available or through other means until RINF allows for such functionality.

The infrastructure manager shall ensure that the information provided to the railway undertaking(s) is complete and accurate.

(2) The infrastructure manager may provide the following information:



Status: DRAFT

UNIFORM TECHNICAL PRESCRIPTIONS (UTP)

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

I KAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018 Original: EN Date: 19.05.2020

a) For trains able to run at a maximum speed higher than 200 km/h, deceleration profile and equivalent response time on level track;

- b) For trainsets or for fixed train compositions, unable to run at a maximum speed higher than 200 km/h, deceleration (as above in (i)) or brake weight percentage;
- c) For other trains (variable compositions of trains unable to run at a maximum speed higher than 200 km/h): brake weight percentage.

If the infrastructure manager provides the above mentioned information, it shall be made available to all railway undertakings who intend to operate trains on its network in a non-discriminatory way.

Other relevant information such as braking charts shall also be made available.

The braking tables already in use and accepted for the existing non TSI conform lines at the date of entry into force of the present Regulation shall also be made available.

UTP TCRC

Page 12 of 31

- (3) The railway undertaking shall, in the planning stage, determine the braking capability of the train and corresponding maximum speed taking into account:
 - a) the relevant line characteristics as expressed in point (1) above and, if available, the information provided by the infrastructure manager in accordance to point (2) above; and
 - b) the rolling stock-related margins derived from reliability and availability of the braking system.

Furthermore, the railway undertaking shall ensure that during operation each train achieves at least the necessary braking performance.

Corresponding rules shall be set up in accordance with the provisions in force in the state concerned and implemented by the railway undertaking.

In particular, there shall be rules

The railway undertaking shall set up and implement corresponding rules and shall manage them within its safety management system. In particular the railway undertaking has to set up rules

to be used if a train does not reach the necessary braking performance during operation. In this case, the railway undertaking shall immediately inform the infrastructure manager. The infrastructure manager may take appropriate measures to reduce the impact on the overall traffic on its network.

5. ENSURING THAT THE TRAIN IS IN RUNNING ORDER

5.1. General requirement

European Union OPE TSI point 4.2.2.7.1

The railway undertaking shall

The railway undertaking shall define the process to

ensure that all safety-related on-train equipment is in a fully functional state and that the train is safe to run.

The railway undertaking shall inform the infrastructure manager of any modification to the characteristics of the train affecting its performance or any modification that might affect the ability to accommodate the train in its allocated path.



Status: DRAFT

UNIFORM TECHNICAL PRESCRIPTIONS (UTP)

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Version 1 Ref.: TECH-20018

UTP TCRC
Page 13 of 31

Date: 19.05.2020

Procedures shall be established and kept upto-date to be applied for trains running in degraded mode, including conditions under which these trains shall be operated. The infrastructure manager and the railway undertaking shall define and keep up to date conditions and procedures for train running temporarily in degraded mode.

Original: EN

5.2. Pre-departure data

European Union OPE TSI point 4.2.2.7.2

The railway undertaking shall ensure that the following data required for safe and efficient operation is made available to the infrastructure manager(s) prior to the departure of the train:

- a) the train identification
- b) the identity of the railway undertaking responsible for the train
- c) the actual length of the train
- d) if a train carries passengers or animals when it is not scheduled to do so
- e) any operational restrictions with an indication of the vehicle(s) concerned (gauge, speed restrictions, etc.)
- f) information the infrastructure manager requires for the transport of dangerous goods.

The railway undertaking shall advise the infrastructure manager(s) if a train does not occupy its allocated path or is cancelled.

TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Page 14 of 31

Original: EN Status: DRAFT Ref.: TECH-20018 Version 1

Date: 19.05.2020

UTP TCRC

ANNEX: LIST OF THE PARAMETERS FOR THE VEHICLE AND TRAIN COMPATIBILITY OVER THE ROUTE INTENDED FOR OPERATION

Explanation:

- The following tables are based on table D1 in Appendix D to the European Union OPE TSI (Commission Implementing Regulation (EU) No 2019/773 of 16 May 2019).
- In table D1 in Appendix D to the OPE TSI there are reference to European Union RINF (Commission Implementing Regulation (EU) 2019/777 of 16 May 2019 on the common specifications for the register of railway infrastructure and repealing Implementing Decision 2014/880/EU). There are no COTIF provisions comparable with RINF and therfore the references to RINF in table D1 in Appendix D to the OPE TSI could not be taken over as references to COTIF texts. The revelant texts from RINF have therefore been included in the following tables (between brackets in the second column).
- The texts under some of the tables are explanatory and based on ERA's Guide on the application of the common specifications of the RINF version 1.5 of 29 July 2019.

Guiding notes for application:

- 1. Following the requirements of *route compatibility*, the railway undertaking may cover route compatibility checks of certain parameters during earlier stages (i.e. during the procedure for the admission to international traffic, or vehicle authorisation in accordance with European Union law).
- 2. All parameters must be checked at vehicle level: this is indicated by a "X" in the column "Vehicle level". Some parameters needs to be checked when the train composition changes, as defined in the section train composition; those parameters are indicated with a "X" under the column "Train level".
- 3. With a view to avoid duplication of testing, in relation to parameters "Traffic loads and load carrying capacity of infrastructure" and "Train detection systems", the infrastructure managers should provide the list of vehicle types or vehicles compatible with the route for which they have already verified route compatibility, where such information is available.

1. TRAFFIC LOADS AND LOAD CARRYING CAPACITY OF INFRASTRUCTURE

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
	Load capability	Х	Х	



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 15 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Static axle loads and design and operational masses in the following load cases: - design mass as defined in UTP LOC&PAS o in working order; o under normal payload; o under exceptional payload;	(A combination of the line category and speed at the weakest point of the track). National classification for load capability Compliance of structures with the High Speed Load Model (HSLM) (For sections of line with a maximum permitted speed of	X X	X X	The static compatibility checks for vehicles and, when necessary in accordance with the information provided by the infrastructure manager, the dynamic compatibility checks for trains shall be performed according to the procedure(s) or relevant information provided by the infrastructure manager.
 Where relevant operational mass in accordance with EN 15663: 2017- A1 2018: in working order; under normal payload. Maximum design speed;	200 km/h or more. Information regarding the procedure to be used to perform the dynamic compatibility check.) Railway location of structures requiring specific checks (Localisation of structures requiring specific checks)	х	x	For freight wagons: The static compatibility check is performed according to the following sections of EN 15528:2015: 4 to 7, Annex A, Annex D orin accordance with applicable national
Vehicle length; The position of the axles along the unit (axle spacing). Static compatibility check for Wagons: Permissible payload for different line categories according to UTP WAG.	Document(s) with the procedure(s) for static and dynamic route compatibility checks (Informationt from the infrastructure manager with: - precise procedures for the static and dynamic route compatibility checks; Or - relevant information for carrying out the checks for specific structures.	х	х	technical requirments.

General Explanation for load capability:

The load capability describes the weakest point of this track within this section of line (which is normally a bridge or other sub-track structure). It is expressed as a combination of the line category and speed permitted for trains exerting loads defined for this line category.

The result of the classification process is set out in EN 15528:2008 (Annex A) and referred to in that standard as "Line Category".

It represents the ability of the infrastructure to withstand the vertical loads imposed by vehicles on the track for regular service as a combination of Line Category with a permitted speed according to EN 15528:2008.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 16 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

2. GAUGING

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Vehicle gauge:	Gauging	Х	Х	Comparison of the declared reference profiles between
- Reference profiles for which the vehicle	(Gauges as defined in standards, or specific national			Vehicle/Train and the intended route.
was authorised;	gauges, including lower or upper part)			For the specific cases referred to in:
- other gauges assessed.	Railway location of particular points requiring specific checks	Х	Х	- UTP LOC&PAS sections 7.3.2.1, 7.3.2.2 and
	(due to deviations from gauging referred to in previous			7.3.2.3.
	(first) parameter)			- European Union LOC&PAS TSI 1302/2014
	Document with the transversal section of the particular	Х	Х	section 7.3.2.2 and
	points requiring specific checks			- European Union INF TSI 1299/2014 sections
	(Information provided by the infrastructure manager with			7.7.17.2 and 7.7.17.9
	the transversal section of the particular points requiring			And if national technical requirments apply, a specific
	specific checks due to deviations from gauging referred to			procedure for route compatibility check can be applied.
	in first parameter. Where relevant, guidance for the check			For such purpose, the infrastructure manager shall
	with the particular point may be attached to the document			make available the relevant information.
	with the transversal section)			
				The infrastructure manager shall identify particular points
				which deviate from the declared reference profile in
				·
				parameter: gauging.
				Note:
				Additional discussion between infrastructure manager
				and railway undertaking might be needed for checking
				these specific points.

General Explanation for vehicle gauge:

This parameter covers gauges mentioned in EN or gauges included in national regulations. The list of national gauges should express as precise as possible information about the gauge.

Gauges from BE1 to W6 are mentioned in EN, all others are according the national rules. For example, S is for 1520 track gauge system, FS for Italy, IRL 1-3 for Ireland, etc.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 17 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

3. VERTICAL RADIUS

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Minimum vertical:	Minimum radius of vertical curve (Concern siding)	Х		Comparison of the declared minimum radius of vertical
 convex curve radius capability; 	(Radius of the smallest vertical curve expressed in metres)			curve between vehicle and the intended route.
- concave curve radius capability.				

4. TRAIN DETECTION SYSTEMS

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Type of train detection systems for which the vehicle has been designed and assessed	Type of train detection system (Indication of types of train detection systems installed)	Х		Comparison of the declared type of train detection system(s) between Vehicle and the intended route.
	Type of track circuits or axle counters to which specific checks are needed. (Indication of types of train detection systems to which specific checks are needed) Document with the procedure(s) related to the type of train detection systems declared in previous parameter. (Information from the infrastructure manager with precise procedures for the specific checks to be performed to ascertain compatibility of the vehcile with the train detection systems)	x		Note: At vehicle admission, based on UTPs and national rules, the technical compatibility between the Vehicle and all train detection system(s) of the network(s) in the area of use is verified. If required to ascertain detection (e.g. problems of non-detection of the vehicle occurring during operation), tests and/or checks could be done after vehicle authorisation, involving railway undertaking and
	Section with train detection limitation (Specific route compatibility checks or requirments for particlur sections of the network.)	х		infrastructure manager.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 18 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

5. HOT AXLES BOX DETECTION

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Axle bearing condition monitoring	Existence of trackside Hot axle box detection (HABD)	Х		For existing non-UTP compliant vehicle:
	Informantion from the infrastrcuture manager whether	Х		Comparison of the declared compliance to track side
	trackside hot axle box detection is UTP compliant. If Not:			HABD between vehicle and the intended route, when the
	- Identification of trackside hot axle box detection;			network(s) of the area of use is composed of more than
	- Generation of trackside hot axle box detection;			one 'type' of track side HABD. If the network(s) of the area
	- Railway location of trackside hot axle box detection;			of use is composed by only one type of trackside hot axle
	- Direction of measurement of trackside hot axle box			box detector, no route compatibility check is needed.
	detection			Note:
	If the direction of measurement is:			For UTP compliant vehicle: Compatibility with tracksides
	 the same as the direction defined by the start and 			for network(s) of an area of use is verified before
	end of the Section of Line (SoL);			admission to international traffic. Any specificity of the
	 the opposite to the direction defined by the start and 			network has to be covered by a specific case.
	end of the Section of Line (SoL);			
	both directions.)			

6. RUNNING CHARACTERISTICS

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Combination(s) of maximum speed and	Cant deficiency	Х		Comparison of the combination of maximum speed,
maximum cant deficiency of a vehicle	(Maximum cant deficiency expressed in millimetres			maximum cant deficiency and rail inclination(s), to which
(operational envelope that the vehicle has been	defined as difference between the applied cant and a			the Vehicle is assessed, with the cant deficiency, speed
assessed for);	higher equilibrium cant the line has been designed for)			and rail inclination(s) declared in information provided by
Rail inclination.	Maximum permitted speed	Х		infrastructure manager.
	(Nominal maximum operational speed on the line as a			If vehicle characteristics don't match infrastructure
	result of infrastructure, energy and control, command and			characteristics and the compatibility between the vehicle
	signalling subsystem characteristics expressed in			and the route might be compromised, the infrastructure
	kilometres/hour)			and the route might be compromised, the minastructure



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 19 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
	Rail inclination	Х		manager shall provide the exact combination of speed
	(An angle defining the inclination of the head of a rail			and cant deficiency for the specific points in which the
	relative to the running surface)			compatibility might be compromised, if possible within
				one month, free of charge and in an electronic format.
				Note:
				The output of the check should be taken into account by
				the railway undertaking for the route book preparation.
				Operational conditions might be imposed as a result of
				this check (e.g. speed restriction for a section of line).

General Explanation for the rail inclination:

The rail inclination is in most cases expressed by one value for entire networks, however to ascertain compatibility with specific sections it is required to check compatibility of the vehicle with these sections of line in detail, including in the event that one section of line has several different values. Rail inclanation is an angle defining the inclination of the head of a rail when installed in the track relative to the plane of the rails (running surface), equal to the angle between the axis of symmetry of the rail (or of an equivalent symmetrical rail having the same rail head profile) and the perpendicular to the plane of the rails.

7. WHEELSET

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Wheel set gauge	Nominal track gauge	Х		Comparison of the wheelset gauge with track gauge of
	(A single value expressed in millimetres that identifies the			the intended route.
	track gauge)			
Minimum in-service wheel diameter	Minimum wheel diameter for fixed obtuse crossings	Х		Comparison of the minimum wheel diameter between
	(Maximum unguided length of fixed obtuse crossings is			Vehicle and the intended route.
	based on a minimum wheel diameter in service expressed			
	in millimetres)			
Type of changeover facilities to which the	Geographical location of Operational Point	Х		
vehicle is designed for				



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

Page 20 of 31

UTP TCRC

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
	(Geographical coordinates in decimal degrees normally			Comparison of the type(s) of changeover facilities to
	given for the centre of the Operational Point)			which the vehicle is designed for with the type(s) of track
	Type(s) of track gauge changeover facility (ies)	Х		gauge changeover facilities of the intended route.
	(Type of track gauge changeover facility)			

General explanations for nominal track gauge:

In case of multi-rail track, a set of data is to be published separately to each pair of rails to be operated as separate track (it should be clear to which pair of rails the set of parameters refers).

The minimum wheel diameter value is 330 mm and this shall be used as a default value unless indicated otherwise.

8. MINIMUM CURVE

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Minimum horizontal curve radius capability	Minimum radius of horizontal curve	Х	Х	Comparison of the minimum horizontal curve radius
	(Radius of the smallest horizontal curve, expressed in			between vehicle and the intended route.
	metres)			

9. Braking

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Emergency braking and maximum service	Maximum braking distance requested	Х	Х	For pre-defined formation (as referred in section 2.2.1 of
brake: Stopping distance, Maximum	(The maximum value of the braking distance [in metres] of			UTP LOC&PAS):
deceleration, for the load condition 'design	a train shall be given for the maximum line speed)			Comparison of the declared stopping distance and
mass under normal payload' at the design	Gradient profile	Х	Х	maximum train deceleration between Rolling Stock and
maximum speed.	(Sequence of gradient values and locations of change in			maximum train deceleration between rolling stock and
	gradient)			



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 21 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
For general operation ¹ , in addition to the above	Maximum permitted speed	Х	Х	the intended route for each load condition per design
data: brake weight percentage (lambda)	(Nominal maximum operational speed on the line as a			maximum speed.
	result of infrastructure, energy and control, command and			For general operation ² :
	signalling subsystem characteristics expressed in			No specific suggested procedure, to be covered by railway
	kilometres/hour)			undertaking safety management system.
	Maximum train deceleration	Х	Х	andertaking sarety management system.
	(Limit for longitudinal track resistance given as a			
	maximum allowed train deceleration and expressed in			
	metres per square second)			
	Additional information provided by the infrastructure	Х	Х	
	manager			
	[Availability of additional information as defined in 4.2 (2)			
	of this UTP: (Y/N)			
	If yes:			
	Reference to the information(s) relating to the braking			
	performance provided by the infrastructure manager			
Thermal capacity:	Gradient profile	Х		Comparison of the vehicle reference case with the
 Reference case of UTP; 	(Sequence of gradient values and locations of change in			intended route characteristics.
- if no reference case is indicated, thermal	gradient)			Note:
capacity expressed in terms of:	Maximum permitted speed	Х		Information provided by IM, indicates location of change
o Speed;	(Nominal maximum operational speed on the line as a			in km, gradient length can be calculated by extracting
 Gradient; 	result of infrastructure, energy and control, command and		data.	
o Distance;	signalling subsystem characteristics expressed in			
 Time (if distance is not indicated) 	kilometres/hour)			
	Gradient profile	Х	Х	Comparison of the declared maximum gradient profile
	(Sequence of gradient values and locations of change in			between vehicle and the intended route.
	gradient)			

.

¹ General operation: A unit is designed for general operation when the unit is intended to be coupled with other unit(s) in a train formation which is not defined at design stage



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 22 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Maximum gradient on which the unit is kept	Gradient for stabling tracks	Х	Х	Note:
stationary by the parking brake alone (if the	(Maximum value of the gradient expressed in millimetres			The output of the comparison should be taken into
vehicle is fitted with it)	per metre)			account by the safety management system of the RU (e.g.
				use of additional means)

General Explanation of "gradient" (data on the values of gradient along a section of line is given as a chain of information):

Gradient (location) The first location corresponding to the start of the first value of the gradient is the centre point of start operational point. If there are different values of the gradient, the parameter will be repeated. The last location will correspond to the point where the last value of the gradient starts. This value will be available until the centre point of the end operational point.

Gradient is expressed in mm/m; location is expressed in km of the line. Positive gradient (uphill) is marked with '+' and negative gradient (downhill) is marked by '-'. The order in the sequence shall be determined by the normal running direction on the specific track. If it is both directions then sequence shall follow the increasing kilometres of the line.

Changes in gradient shall be registered only as far as necessary for train running calculations (minimum length of constant gradient shall be 500 m, the minimum change of gradient value shall be 0,5 mm/m).

The required precision for gradient value is 0,5 mm/m, the required precision of location of the points of change of gradient is 10 m. The points of change of gradient are the points of vertical intersection of each vertical curve.

10. MAGNETIC TRACK BRAKE

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Possibility of preventing the use of the magnetic	Use of magnetic brakes	Х		Verification if the use of magnetic track brake is allowed
brake (only if fitted with magnetic brake)	(Indication of limitations on the use of magnetic brakes)			in the intended route.
	Document with the conditions of use of magnetic track brake.	Х		Notes:
	(Information from the IM with conditions for the use of			Where magnetic brake is allowed, the IM shall provide the
	magnetic brakes identified in previous point)			conditions of its use.
				The output of the check should be taken into account by
				the safety management system of the RU (e.g.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 23 of 31

Status: DRAFT

Version 1 Ref.: TECH-20018 Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility	
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation	
other appropriate means of information)					
				preventing the use of magnetic track brake in the section	
				of line).	

11. EDDY CURRENT TRACK BRAKE

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Possibility of preventing the use of the eddy	Use of eddy current brakes	Х		Verification if the use of Eddy current track brake is
current brake (only if fitted with eddy current	(Indication of limitations on the use of eddy current			allowed in the intended route.
brake)	brakes)			Notes:
	Document with the conditions of use of eddy current brake. (Information from the IM with conditions for the use of eddy current brakes identified in previous point)	х		Where Eddy current track brake is allowed, the IM shall provide the conditions of its use. The output of the check should be taken into account by the safety management system of the RU (e.g. preventing the use of eddy current track brake in the section of line).

WEATHER CONDITIONS **12.**

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Temperature range	Temperature range (Temperature range for unrestricted access to the line according to UTP LOC&PAS point 4.2.6.1.1, i.e. European standard EN 50125-1 (1999) clause 4.3)	х		Comparison of the declared temperature range between vehicle and the intended route. Note: The safety management system of the RU shall consider any possible restrictions when the compared temperature range diverge.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 24 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Snow, ice and hail condition	Existence of severe climatic conditions (Climatic conditions on the line are severe according to UTP LOC&PAS point 4.2.6.1.2, i.e. European standard EN 50125-1 (1999): clauses 4.7 and 4.8)	х		Comparison of the declared vehicle "Snow, ice and hail condition" (e.g. S1) with and the "Existence of severe climatic conditions" in the intended route.
				Note: The safety management system of the RU shall consider any possible restrictions. Discussion between RU and IM to identify the possible restrictions.

13. VOLTAGES AND FREQUENCIES

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Energy supply system:	Type of contact line system	Х		Comparison of the declared voltage between vehicle and
 Nominal voltage and frequency; 	(Indication of the type of the contact line system)			the intended route of the traction supply system
- Type of contact line system.	Energy supply system (Voltage and frequency)	Х		(nominal voltage and frequency) and type of contact line
	(Indication of the traction supply system (nominal voltage			system.
	and frequency))			
	Energy supply system TSI compliant (yes/no)	Х		
	Concerns EU Member States only			



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 25 of 31

Status: DRAFT

Version 1 Ref.: TECH-20018

Original: EN

Date: 19.05.2020

14. REGENERATIVE BRAKE

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Possibility of preventing the use of the regenerative brake (only if fitted with regenerative brake)	Permission for regenerative braking (Indication whether regenerative braking is permitted, not permitted, or permitted under specific conditions)	х		Verification if the use of the regenerative brake is allowed in the intended route or under specific conditions. Note: The output of the check should be taken into account by the safety management system of the RU (e.g. preventing the use of the regenerative brake in the section of line).

15. CURRENT LIMITATION

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Electric units equipped with power or current limitation function.	Current or power limitation on board (Indication of whether an on board current or power	Х		Verification if the intended route require that the vehicle is equipped with a current or power limitation.
	limitation function on vehicles is required)			Note: UTP-compliant rolling stock with a maximum power higher than 2MW are equipped with current or power limitation.

16. PANTOGRAPH

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Maximum current at standstill per pantograph	Maximum current at standstill per pantograph	Х		Comparison of the declared maximum current at
for each DC systems the vehicle is equipped for	(Indication of the maximum allowable train current at			standstill per pantograph for each DC systems, between
	standstill for DC systems expressed in amperes)			vehicle and the intended route.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 26 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Height of interaction of pantograph with	Maximum contact wire height	Х		Comparison of the height of interaction of pantograph
contact wires (over top of rail) for each energy	(Indication of the maximum contact wire height expressed			with contact wires, for each energy supply system,
supply system the vehicle is equipped for	in metres with precision of 0.01 m)			between the vehicle and the intended route.
	Minimum contact wire height	Х		7
	(Indication of the minimum contact wire height expressed			
	in metres with precision of 0.01 m)			
Pantograph head for each energy supply system	Accepted UTP compliant pantograph heads	Х		Comparison of the pantograph head geometry (including
the vehicle is equipped for	(Indication of UTP compliant pantograph heads which are			insulated or nor not insulated horns for 1950 mm), for
	allowed to be used)			each energy supply system, between the vehicle and the
	Accepted other pantograph heads	Х		intended route.
	(Indication of pantograph heads which are allowed to be			
	used)			
Material of pantograph contact strip the vehicle	Permitted contact strip material	Х		Comparison of material of pantograph contact strip, for
may be equipped with for each energy supply	(Indication of which contact strip materials are permitted			each energy supply system, between the vehicle and the
system the vehicle is equipped for	to be used)			intended route.
Mean contact force curve	Contact force permitted	Х		Comparison of mean contact force between the vehicle
	(Indication of contact force allowed expressed in newton)			and the intended route:
				For UTP-Compliant vehicle intended to operate in non-
				UTP conform line(s): comparison of mean contact force
				between the vehicle and the intended route, for each
				voltage.
				For existing non UTP-compliant vehicle: comparison of
				the mean contact between vehicle and the intended
				route, for each voltage.
				Note:
				A UTP-compliant vehicle is authorised with a mean
				contact force within limits values defined in EN
				50367:2012 Table 6.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 27 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Number of pantographs in contact with the overhead contact line (OCL) (for each energy supply system the vehicle is equipped for); Shortest distance between two pantographs in contact with the OCL (for each energy supply system the vehicle is equipped for; for single and, if applicable, multiple operation) (only if number of raised pantographs is more than 1); Type of OCL used for the test of current collection performance (for each energy supply system the vehicle is equipped for) (only if number of raised pantographs is more than 1).	Requirements for number of raised pantographs and spacing between them, at the given speed (Indication of maximum number of raised pantographs per train allowed and minimum spacing centre line to centre line of adjacent pantograph heads, expressed in metres, at the given speed)	Х	X	For pre-defined formation (as referred in section 2.2.1 of UTP LOC&PAS): For each energy supply system: - Comparison of number of vehicle pantographs in contact with the OCL and the intended route; - Comparison of the vehicle shortest distance between two pantographs in contact with the OCL and the intended route. For general operation ² : Covered by RU safety management system, considering the conditions imposed by the IM. Note: The output of the comparison, concerning a minimum distance between two raised pantographs, might result in operational constraint on the vehicle to be considered by the safety management system of the RU (e.g. a two pantographs raised Electrical Multiple Units is forced to lower one pantograph).
Automatic dropping device (ADD) fitted (for each energy supply system the vehicle is	Automatic dropping device required [Indication of whether an automatic dropping device	Х		Verification if the intended route(s) require that the vehicle is equipped with an automatic dropping device.
equipped for)	(ADD) required on the vehicle]			
Distance between cab and pantograph for	Distance between signboard and phase separation ending		Х	Verification if the positioning of signboards identifying
reverse or multiple unit	(Specific for route compatibility check on French network.			the place where driver is allowed to raise pantographs or
(Specific to the French network: Phase	Distance between the signboard authorizing the driver to			close circuit breakers again on the intended route(s) is
separation)	'raise pantograph' or 'close the circuit breaker' after			compatible with the distance between cab and
	passing the phase separation and the end of the phase separation section)			pantograph for reverse or multiple unit.

-

² General operation: A unit is designed for general operation when the unit is intended to be coupled with other unit(s) in a train formation which is not defined at design stage



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 28 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
				Where there is incompatibility, the signboard is to be
				moved and be settled far enough to ensure drivers do not
				raise pantographs too early.

Explanation on Definition of maximum current at standstill per pantograph: Parameter related to current taken by the vehicle when it is not in a traction or regenerative mode, e.g. preheating, air-condition, etc.

The parameter concerning a pantograph head can contain more than one pantograph defined in UTP LOC&PAS. Presentation of those pantographs is done by repetition of the parameter with a single selection. If declaring acceptance of pantograph heads 1950 (type 1), both insulated and conductive horns shall be accepted.

The parameter concerning a contact force permitted is either given as: a value of the static force and of the maximum force expressed in Newton, or as a formula for function of the speed. The formula of the function shall represent the curve describing the value of the contact force in relation to the speed. Static and maximum forces are given only for the maximum permitted line speed.

17. COMPATIBILITY WITH TUNNELS

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Fire safety category	Fire category of rolling stock required	Х		Comparison between fire safety category of vehicle and
	(Categorisation on how a passenger train with a fire on			intended route.
	board will continue to operate for a defined time period)			
	National fire category of rolling stock required	Х		
	(Categorisation how a passenger train with a fire on board			
	will continue to operate for a defined time period —			
	according to national rules if they exist)			

General Explanation of "tunnel":

"Tunnel" should be understood as a section a line with special conditions. If there are several tracks in the same tunnel, data related to this tunnel will be repeated in description of each track. On the other hand, if a track passes through several tunnels, in the description of the track each of the tunnels should be described separately.



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 29 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

18. TRAIN LENGTH

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Train length	Usable length of siding	Х	х	For fixed and pre-defined formation (as referred in
	(Total length of the siding/stabling track expressed in metres where trains can be parked safely)			section 2.2.1 of UTP LOC&PAS): Comparison of unit(s) length (single or multiple
	Usable length of platform [The maximum continuous length (expressed in metres) of that part of platform in front of which a train is intended to remain stationary in normal operating conditions for passengers to board and alight from the train, making appropriate allowance for stopping tolerances]	х	х	operation) with the "siding and platform" length(s) of the intended route. For general operation ³ : Verification of the composed train length with the "siding and platform" length(s) of the intended route. Note: The output of the check should be taken into account by the RU in its Safety Management System. Operational conditions might be imposed as a result of this check.

19. PLATFORM HEIGHTS AND ACCESS AND EGRESS

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Platform heights for which the vehicle is	Height of platform	Х		Comparison of platform heights between the vehicle and
designed	(Distance between the upper surface of platform and			the intended route.
	running surface of the neighbouring track. It is the nominal			Note:
	value expressed in millimetres)			The output of the check should be taken into account by
				the RU in its safety management system. Operational
				conditions might be imposed as a result of this check.

.

³ General operation: A unit is designed for general operation when the unit is intended to be coupled with other unit(s) in a train formation which is not defined at design stage



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 30 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Platform dimensions are always related to one neighbouring track at a time. So, if two tracks are along a platform, this platform should be divided into two or more and to have precise description of each.

20. ETCS

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
ETCS System Compatibility	ETCS System Compatibility	Х		Comparison ETCS System Compatibility value provided by
	(ETCS requirements used for demonstrating technical			the IM is included in the vehicle admission or
	compatibility)			authorisation.
Train Integrity	Train integrity confirmation from on-board necessary for line	Х	Х	Comparison that vehicle/train is able to confirm the train
	access			integrity if required by trackside.
	(Indication whether train confirmation from on-board is			
	required to access the line for safety reasons)			

The IM with the support of their suppliers shall make available to the RU(s) the value(s) of ETCS requirements on its network.

21. **GSM-R**

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Radio System Compatibility Voice	Radio System Compatibility Voice	Х		Comparison Radio System Compatibility voice value
	(Radio requirements used for demonstrating technical			provided by the IM is included in the vehicle admission or
	compatibility voice)			authorisation.
Radio System Compatibility Data	Radio System Compatibility data	Х		Comparison Radio System Compatibility data value
	(Radio requirements used for demonstrating technical			provided by the IM is included in the vehicle admission
	compatibility data)			authorisation.
SIM Card GSM-R Home Network	GSM-R networks covered by a roaming agreement	Х		Comparison that the SIM Card GSM-R Home Network is in
	(List of GSM-R networks which are covered by a roaming			the list of GSM-R networks with roaming agreement for
	agreement)			all sections in the route. This has to be performed for all
				SIM Cards in the vehicle (Voice and Data).



TRAIN COMPOSITION AND ROUTE COMPATIBILITY

UTP TCRC Page 31 of 31

Status: DRAFT

Version 1

Ref.: TECH-20018

Original: EN

Date: 19.05.2020

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Sim card support of group ID 555	Use of Group 555	Х		Check that the Group ID 555 is used trackside. If this is not
	(Indication if group 555 is used)			configured on-board, alternative operational procedures
				should be prior established with the IM.

The IM with the support of their suppliers shall make available to the RU(s) the value(s) of radio requirements on its network.

This list of GSM-R networks which are covered by a roaming agreement is managed by UIC. For Route Compatibility purposes and simplicity, the own network needs to be declared by the IM, so the RUs can systematically check the compatibility. For voice services, roaming for CS is applicable. For ETCS, as long as roaming for CS is ensured, the interoperability will be guaranteed.

22. CLASS B

Vehicle information	Route information	Vehicle	Train	Procedure to check the vehicle and train compatibility
(either from ERATV, the technical file, or any	provided by the Infrastructure manager	level	level	over the route intended for operation
other appropriate means of information)				
Class B train protection legacy system	Train protection legacy systems	Х		Comparison of name and version of the Class B train
	(Indication of which class B system is installed)			protection legacy system.
Class B radio legacy system	Radio legacy system	Х		Comparison of name and version of the Class B radio
	(Indication of radio legacy systems installed)			legacy system.