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(London, 20. bis 23. November 2023)

Thema: Änderung der Inbezugnahme des UIC-Merkblatts 472 in Absatz 1.4.2.2.7
(Pflicht des Beförderers, den Triebfahrzeugführer über die Position der gefährlichen Güter im Zug zu informieren)

Antrag des internationalen Eisenbahnverbands (UIC)

Einleitung

1. Das in Absatz 1.4.2.2.7 in Bezug genommene UIC-Merkblatt 472 "Bremszettel, Wagenliste für den Triebfahrzeugführer und Anforderung an die für die Produktionsdurchführung im Güterverkehr auszutauschenden Informationen" wurde im Jahr 2022 überarbeitet und in IRS 40472 "*Braking sheet, consist list for locomotive drivers and requirements for the exchange of data necessary to the operation of freight rail services*" umbenannt (siehe Anlage).
2. Diese IRS, die im September 2022 in Kraft getreten ist, wurde von der UIC nur in englischer Sprache herausgegeben. Sie enthält hinsichtlich der verpflichtend im Bremszettel und in der Wagenliste anzugebenden Informationen zu gefährlichen Gütern – bis auf eine Ergänzung – dieselben Angaben, wie sie bereits im UIC-Merkblatt 472 vorgeschrieben waren, nämlich:

Bremszettel (*Appendix A: Contents of the braking sheet for an international freight train*)

- Gefahrgut: ja oder nein (*Field number 10*)

Wagenliste (*Appendix B: Contents of the wagon list (wagons and inactive locomotives) for an international freight train*)

- Angaben zur Position des Wagens im Zug (*Field number 45*)
- Angaben zur Wagenummer (*Field number 46*)
- UN-Nummer (*Field number 54*)
- Nummer der Gefahrzettelmuster (*Field number 54*)
- Angaben über in begrenzten Mengen verpackte gefährliche Güter über 8 Tonnen (LQ) (*Field number 54*)

Neu:

- Nummer zur Kennzeichnung der Gefahr (*Field number 54*)
3. Diese Angaben und die dazu gehörenden Erläuterungen finden sich ebenfalls in **Appendix C: Braking sheet and wagon list template**.

Antrag

4. Absatz 1.4.2.2.7 erhält folgenden Wortlaut (gestrichener Text ist durchgestrichen, neuer Text in Fettdruck dargestellt):

"1.4.2.2.7 Der Beförderer muss den Triebfahrzeugführer vor Antritt der Fahrt über die geladenen gefährlichen Güter und deren Position im Zug informieren.

Die Bestimmungen dieses Absatzes gelten bei Anwendung ~~des UIC-Merkblattes 472 («Bremszettel, Wagenliste für den Triebfahrzeugführer und Anforderungen an die für die Produktionsdurchführung im Güterverkehr auszutauschenden Informationen»)-Anlagen A und B⁴⁾~~ **der IRS 40472 ("Braking sheet, consist list for locomotive drivers and requirements for the exchange of data necessary to the operation of freight rail services") Anlagen A, B und C⁴⁾** als erfüllt.

⁴⁾ ~~Fassung des ab 1. Juli 2015 geltenden UIC-Merkblattes~~ **Fassung der ab September 2022 geltenden IRS (International Railway Solution).**"

Begründung (vgl. informelles Dokument INF.5 der 4. Tagung der Ständigen Arbeitsgruppe des RID-Fachausschusses – Madrid, 17. bis 20. November 2014)

5. Ebenso wie die im UIC-Merkblatt 472 vorgeschriebenen Angaben erfüllen auch die in der IRS 40472 vorgesehenen Daten über gefährliche Güter das Informationsbedürfnis des Triebfahrzeugführers. Der Triebfahrzeugführer muss wissen, ob und an welcher Stelle im Zug sich gefährliche Güter befinden. Des Weiteren sollte er in der Lage sein, die nach den schriftlichen Weisungen bei einem Unfall oder Zwischenfall zu ergreifenden Maßnahmen (insbesondere anhand der Gefahrzettelmuster) treffen zu können, ohne sich den Wagen annähern zu müssen, um die Großzettel (Placards) und Kennzeichnungen visuell zu erkennen.
6. Durch die Angabe der UN-Nummer und des Vorhandenseins begrenzter Mengen von mehr als 8 Tonnen (LQ) werden auch die Anforderungen an die Information gemäß Unterabschnitt 1.4.3.6 b) bzw. die Pflichten gemäß Absatz 1.4.2.2.5 erfüllt. Somit können die dem Triebfahrzeugführer im Bremszettel und in der Wagenliste enthaltenen Angaben nötigenfalls vor Ort auch dem Betreiber der Eisenbahninfrastruktur (Notfallmanager) und/oder den Einsatzkräften schnell und uneingeschränkt als Erstinformation zur Verfügung gestellt werden (vgl. Unterabschnitt 5.4.3.4 "Schriftliche Weisungen gemäß RID" 5. Spiegelstrich).
7. Davon unberührt besteht die Pflicht, dem Betreiber der Eisenbahninfrastruktur (Notfallmanager) und/oder den Einsatzkräften eventuell auf dem Triebfahrzeug mitgeführte Beförderungspapiere mit den Detailinformationen zu den gefährlichen Gütern gemäß Kapitel 5.4 RID zur Verfügung zu stellen. Ebenso unberührt bleibt die Möglichkeit diese Daten auch elektronisch zu übermitteln (vgl. Unterabschnitt 5.4.3.4 "Schriftliche Weisungen gemäß RID" 6. Spiegelstrich).

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Foreword

An International Railway Solution (IRS) is a document drawn up by consensus and applied on a voluntary basis which aims to facilitate and harmonise railway operation.

IRSs are gradually replacing UIC leaflets.

Members of the International Union of Railways (UIC) participate in the creation of IRSs, of which they benefit in full. Furthermore, marketing to railway stakeholders contributes to the sharing and harmonisation of good practices, in accordance with UIC's primary goal.

While IRSs do not have the force of law, they are created and published in a professional manner and with technical approval on the basis of UIC procedures, and have full technical legitimacy in the railway sector.

The "General application" section constitutes the heart of the IRS and contains the working group's expertise applicable in the broadest manner. The addition of a "Specific application" aims to cover factors specific to a region, a type of service, etc.

Some provisions shall be implemented in all cases to ensure correct application and maintain the system's cohesiveness. Certain operational circumstances require that specific provisions be systematically applied by all users of the document.

For the purposes of understanding, the user acknowledges that the expression of:

- obligation indicates requirements to be respected in order to comply with the expertise expressed in the document (usually "shall");
- recommendation indicates options that are favourable but not essential (usually "should");
- permission indicates options that are recognised but without consequence (usually "may");
- capacity indicates possibilities from a technical standpoint and not permissions or probabilities (usually "can").

Obligation, recommendation and capacity can be expressed in the negative to indicate prohibiting, non-recommendation and incapacity.

If applicable, notably for the purposes of compliance with a UIC approval, passages that are recommended or mandatory for the cohesiveness of the system will be accompanied by the letters "R" and "MCS" respectively.

This IRS reflects the following UIC working group expertise:

OSG - Operation Study Group

Executive summary

The revised *IRS 40472* remains a key part of ensuring interoperability between railway undertakings (RUs), and is thus indispensable in simplifying operating procedures at borders or handover points.

Practice has showed, however, that the IRS needed to be revised and aligned with needs arising from the use of information technology (IT), and with national production requirements. In particular, the new version distinguishes between those requirements governing data transmission via IT interfaces and those governing driver information.

Further, the content of this leaflet could serve as a template for a train formation standard in the shape of an appendix to the Operations TSI (under the heading "Formation of freight trains").

Field of application

This IRS deals with minimum requirements necessary to be met by the driver's braking sheet and consist list and by the electronic data exchanged between RUs.

This IRS contains template documents for the train's braking characteristics and consist, containing all the necessary information about the train's braking characteristics and speed, as well as the consist list of the hauled vehicles forming the rake, containing as a minimum the information needed by the driver to operate the train safely.

This IRS doesn't deal with all other operational purpose information needed by the Railway Undertaking or the Infrastructure Manager. Such information is to be exchanged electronically, independently of the documents present on the train.

Reference documents

The following documents are cited in this IRS or strongly support its requirements and recommendations:

1. UIC Leaflets

International Union of Railways (UIC)

- [1] *UIC Leaflet 404-2: Compendium of the data to be exchanged between Railway Undertakings (RUs) for the purpose of conveying freight traffic*, 5th edition, May 2005
- [2] *UIC Leaflet 419-2: Systematic numbering of international freight trains*, 3rd edition, April 2011

2. Miscellaneous

International Rail Transport Committee (CIT)

- [3] *Convention concerning International Carriage by Rail (COTIF) - Appendix C - Regulation concerning the International Carriage of Dangerous Goods by Rail (RID)*, Valid from 1 January 2013

European Community (EC)

- [4] *2006/920/EC: Commission Decision of 11 August 2006 concerning the technical specification of interoperability relating to the subsystem Traffic Operation and Management of the trans-European conventional rail system*, August 2006

CEN (European Committee for Standardization)

- [5] *EN 14198+A1: Railway applications - Braking - Requirements for the brake system of trains hauled by locomotives*

Abbreviations and Symbols

CBB	Composite Bake Blocks
CEN	European Committee for Standardization
CIT	International Rail Transport Committee
COTIF	Convention concerning International Carriage by Rail
EBO	Eisenbahn-Bau- und Betriebsordnung (German Railway Regulation)
EC	Exceptional Consignment
EVN	European Vehicle Number
HERMES	Handling through European Railways Message Electronic System (Electronic data transmission system of the European railways)
ID	Identification
IM	Infrastructure Manager
IRS	International Railway Solution
IT	Information Technology
LOB	Length Over Buffers
LQ	Limited Quantity
MS	Maximum Speed
RailData	UIC special group which develops and runs IT services for European RUs
RID	Regulation concerning the International Carriage of Dangerous Goods by Rail
RU	Railway Undertaking
TSI	Technical Specification for Interoperability
UBS	Unified Braking Scheme
UIC	International Union of Railways
UN	United Nations
Xrail	Alliance of seven RUs working on European Single Wagonload

Concepts, terms and definitions

The subject of the glossary below is:

1. Brake setting

The brake setting (also referred as brake mode, brake regime or brake position) depends on the brake position selected for the locomotive and for the vehicles. They can be:

P brake mode (or brake position) of rapid-acting brake (passenger train)
loco and all wagons in brake mode P (P-mode)

Sub regimes of P:

GP brake mode G for the loco and all wagons in brake mode P (or P-mode)
P + LL long Locomotive brake mode, i.e., loco and first 5 wagons in G-mode,
other wagons in P-mode (this brake mode sometimes is referred as LL)

G brake mode (or brake position) of slow-acting brake (freight train)
loco and all wagons in brake mode G (G-mode)

R brake mode for passenger trains and fast freight trains
It is a combination of brake mode P and a specified minimum brake mass percentage

2. Infrastructure Manager

Any public organisation or private company that is authorised to provide railway infrastructure for the operation of freight or passenger rail services, and which undertakes to manage the construction and maintenance of the railway infrastructure. The infrastructure manager also takes charge of the operation of the associated train control and signalling systems, plus the associated equipment for the provision of traction current on each line.

3. Railways

Railways are public institutions or privately organised companies that perform railway transport services and operate railway infrastructure.

4. Railway infrastructure

The railway infrastructure covers the operations facilities of the railways including the traction current supply lines.

5. Railway Undertaking

Any public undertaking or private company that is authorised to perform freight or passenger rail services on railway infrastructure.

Braking sheet, consist list for locomotive drivers and requirements for the exchange of data necessary to the operation of freight rail services

General Application

1 – Objectives

One of the fundamental requirements for interoperability is that an international freight train be covered by the same braking sheet and consist list all along its route.

The purpose of this IRS ([see Abbreviations and Symbols - page 5](#)) is to enable international freight trains to cross borders faster, or indeed without stopping at all, by defining the minimum requirements to be met by the driver's braking sheet and consist list and by the electronic data exchanged between RUs ([see Abbreviations and Symbols](#)). Using documents produced in line with these rules, it is ensured that the production data necessary for execution of the service has been recorded and is available. This avoids having to produce a new braking sheet and consist list when trains:

- cross a border;
- are handed over from one RU to another.

2 – Principles

With regard to the train's braking characteristics and consist the RU shall, at the departure station, issue the driver of an international train with the following documents:

- the braking sheet, containing all the necessary information about the train's braking characteristics and speed;
- the consist list of the hauled vehicles forming the rake, containing as a minimum the information needed by the driver to operate the train safely.

Provided they have been properly filled out, these two documents shall remain valid:

- either along the whole route of the train, if both the consist and the braking characteristics are unchanged;
- or until the first point on the route where modification is made to either the consist and/or braking characteristics. As an exception, a single document can still be used in case it is possible to clearly differentiate in the document on which part of a route a particular information is valid.

All other information needed by the RU or IM ([see Abbreviations and Symbols - page 5](#)) for operational purposes is to be exchanged electronically, independently of the documents present on the train.

The documents must be suitable for use in international traffic, including trains running between countries where the brake performance calculation rules differ. Therefore, the documents must always contain unmodified braked weight values that have not been adjusted due to national rules. The particularities required in a single country can be reflected in separate fields that refer to that particular stretch of a train run.

3 – Application

The Braking Sheet and Wagon List provided in [Appendix C - page 20](#) shall be acceptable for use along the whole of the train's route, provided that the conditions specified under [point 2 - page 9](#) are fulfilled. If these conditions cease to be fulfilled, the carrier RU shall determine how the document(s) is (are) to be updated.

RUs may define in an operational agreement (e.g. a production contract) which of the following data they wish to use in addition to the necessary operational and safety-critical information, as far as this data is available electronically:

- optional data from the consist list;
- any further information to be added.

These arrangements must not, however, lead to delays or additional inspections at borders or handover points.

RUs shall use the templates provided in [Appendix C](#) for the presentation of data. The mandatory data and field names provided in [Appendix A - page 13](#) and [Appendix B - page 17](#) are to be indicated as a minimum. Further information may also be provided, though this may not be of relevance for recognition of the consist list. Information may be provided in one or more languages. If more than one language is used, the original language is to be used on the front of the page, with the other language(s) listed in a table on the rear.

RUs shall resolve the issues pertaining to which languages are to be used, and to the handover of documents at handover points, in an operating agreement.

For the electronic exchange of production data, RUs shall use the HERMES ([see Abbreviations and Symbols - page 5](#)) 30 message in accordance with *UIC Leaflet 404-2* ([see Reference documents - page 4](#)). In other instances, the simplified data entry procedure for Hermes messages via RailData ([see Abbreviations and Symbols](#)) is to be used wherever possible.

4 – Rounding

When indicating lengths, weights and forces, the following principles are to be applied for rounding:

- the total weight of all the loads carried by the vehicles is to be calculated precisely in kilograms and rounded up to the nearest full tonne;
- the total length of the full rake of vehicles is to be calculated precisely in centimetres and rounded up to the nearest full metre;
- the total weight of the full rake of vehicles is to be calculated precisely in kilograms and rounded up to the nearest full tonne;
- braked weights are given in full tonnes. Any reductions are to be rounded up and then subtracted from the available braked weight. The braked weight percentage calculated is to be rounded down to the nearest whole number;
- the available holding force is to be expressed for each vehicle in the consist in kN to one decimal place; the total for the rake is to be calculated by adding these together and rounding down to the nearest whole value in kN.

5 – Management of the IRS

The IRS is managed by the UIC Operations Study Group, represented by the Chairman of mentioned group. Any amendments to the leaflet are to be approved by the UIC Freight Forum.

Appendix A: Contents of the braking sheet for an international freight train

Necessary operational and safety-critical data in an interoperable braking sheet as per <i>IRS 40472</i>				
Field number	Field name	Field description	Mandatory	Optional
1	Issuing RU	The RU issuing the braking sheet	X	
2 ¹	Train number	The number of the train valid at departure from the station specified in field 4a	X	
3 ¹	Departure date	The departure date of the train valid at departure from the station specified in field 4a	X	
4a	Valid from station	The station from which the braking sheet is valid	X	
4b	Valid to station	The station until which the braking sheet is valid	X	
5	Country code	ISO codes of countries in which the braking sheet is valid	X	
6	Train profile	The train index (e.g., ME100). In the absence of index the timetabled train type (e.g. P or G). In Switzerland the "Zugreihe" and "Bremsreihe" (e.g., A50). Provided per country	X	
7	v_{max} , km/h	The maximum technically allowed speed of the train considering the train profile and speed limits applied to vehicles in the train. Provided per country	X	
8	Remarks during the journey	Space for remarks about incidents and observations during the journey		X
9	Special features of the train	Direct explanations or references to attached documents that describe the special features of the train		X
10	Dangerous goods in train	Tick if there are any goods with RID (see Abbreviations and Symbols - page 5) marking in the train	X	
11	Exceptional consignment in train	Tick if there are any shipments in the train that are marked as exceptional consignment	X	
12	Additional documents about restrictions added	Tick if there are additional documents added to the brake sheet that describe further restrictions applying to the train	X	
13	Waste shipments in train	Tick if there are waste transports in train	X	
14	Required line classification	The highest railway line classification required by vehicles present in the train according to their loading condition. For the range of A-C only a letter (e.g., C) is to be given, starting from line class D also a number (e.g., D2) must be provided	X	

Necessary operational and safety-critical data in an interoperable braking sheet as per <i>IRS 40472</i>				
Field number	Field name	Field description	Mandatory	Optional
15	Brake setting (see Concepts, terms and definitions - page 6)	Brake setting of the train to be ticked: "G", "P", "GP" (locomotives in G and wagons in P), "P+LL" (Long Locomotive) or "R"	X	
16a / 16c ²	Valid from station	The station from which the train parameters are valid, written in text	X	
16b / 16d ²	Valid to station	The station until which these train parameters are valid, written in text	X	
17a / 17c ²	# of first wagon	The number of the first wagon after the locomotive on the given stretch	X	
18a / 18c ²	# of last wagon	The number of the last wagon in the wagon rake on the given stretch	X	
19 ³	Count (pcs)	The counted number of vehicles in train	X	
20 ³	Length (m)	The summed length over buffers of vehicles in the train, given in whole meters (rounded up)	X	
21 ³	Hand brake holding force (t / kN)	The summed hand brake holding force of vehicles that have hand brakes, either only in kN or in tons as well as kN (rounded down). Tons and kN are to be separated by a slash '/' sign. The hand brake holding force of the locomotive may only be included in case it remains coupled to the train at all times when stopped en route	X	
22 ³	Braked weight after deduction (t)	The braked weight of vehicles in train after foreseen deductions, given in whole tons (rounded down). In case electrodynamic brakes (E-brakes) are also included in the braked weight calculation, the value with and without E-brakes is to be provided, separated by a slash '/' sign. E-brakes may only be included in case the rolling stock used and the infrastructure conditions ensure that E-brakes are available at all times when braking a moving train	X	
23 ³	Gross weight (t)	The gross weight of vehicles in the train, given in whole tons (rounded up).	X	
24	Available brake (%)	The available brake ratio of this train, given in %	X	
25	Required brake (%)	The highest required brake ratio on the foreseen route for this train, given in %	X	
26	Missing brake (%)	The missing brake ratio, given in % points. To be filled in case the available brake ratio remains below the highest required brake ratio, thus demanding operation in degraded mode	X	
27	% of braked weight braked by cast iron blocks	The proportion of braked weight that is provided by wagons braked by using cast iron blocks, given in %	X	

Necessary operational and safety-critical data in an interoperable braking sheet as per IRS 40472				
Field number	Field name	Field description	Mandatory	Optional
28	Seq.	The sequence of the locomotive in train consist, starting from the head of the train. Counting starts with 1	X	
29	Number	Locomotive EVN (see Abbreviations and Symbols - page 5) number according to the UIC standard coding, digit groups (1-2, 3-4, 5-8, 9-11 and 12) are to be separated by a space	X	
30	Class	Locomotive class	X	
31	# of axles	The counted number of axles the locomotive has	X	
32	Length over buffers (m)	Length over buffers of the locomotive, given in meters with two digits after comma	X	
33	Gross weight (kg)	Gross weight of the locomotive, given in kg	X	
34	Brake block type	The type of brake blocks used in the locomotive, abbreviations to be used: K - K-blocks L - L-blocks LL - LL-blocks D - disc brakes F - cast iron blocks	X	
35	Brake position	The brake position set at the given locomotive (G, P, E), several brake systems to be marked by using a plus '+' sign (e.g., P+E)	X	
36	Braked weight (t)	The braked weight of the locomotive as applicable for the given brake position, given in tons (rounded down)	X	
37	Remarks	Any further remarks about the locomotive in a free text form (e.g., a comment that the locomotive is at the rear or middle of the train)		X
38	Date of issue	The date on which the brake sheet was created	X	
39	Time of issue	The time at which the brake sheet was created	X	
40	Issued by	The name and signature of the person who created the brake sheet. Alternatively, an ID (see Abbreviations and Symbols) code or any other reference can be used that ensures the user is traceable in the IT (see Abbreviations and Symbols) system of the RU issuing the document	X	
41	Date of review	The date on which the brake sheet was either enhanced, corrected or additionally checked, should this be necessary		X

Necessary operational and safety-critical data in an interoperable braking sheet as per <i>IRS 40472</i>				
Field number	Field name	Field description	Mandatory	Optional
42	Time of review	The time at which the brake sheet was either enhanced, corrected or additionally checked, should this be necessary		X
43	Reviewed by	The name and signature of the person who performed the enhancement, correction or additional check. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document		X
44	Remark	An explanation in free text describing the reason why fields 41-43 were used		X

1. This information is repeated in the header of each page.
2. Fields 16-18 are to be used for indicating the stretch for which the data in fields 19-27 is valid, should train parameters change en route. Several stretches are to be used in case the creation of a new brake sheet is not possible.
3. Fields 19-23: data to be provided for:
 - a / c: active locomotives in the train
 - b / d: wagons and inactive locomotives in the train
 - a+b / c+d: the overall total of vehicles in train

Appendix B: Contents of the wagon list (wagons and inactive locomotives) for an international freight train

Interoperable wagon list containing safety-critical data for the train run as per IRS 40472				
Field number	Field name	Field description	Mandatory	Optional
45	Seq.	The sequence of the wagon in the wagon rake (active locomotives are not counted). Counting starts with 1	X	
46	Number	Wagon EVN number according to the UIC standard coding, digit groups (1-2, 3-4, 5-8, 9-11 and 12) are to be separated by a space	X	
47	# of axles	The counted number of axles the wagon has	X	
48	Length over buffers (m)	Length over buffers of the wagon, given in meters with two digits after comma	X	
49	Weight of load (kg)	Weight of load on the wagon, given in kilograms	X	
50	Gross weight (kg)	Gross weight of the wagon, given in kilograms	X	
51	Brake block type	The type of brake blocks used in the wagon, abbreviations to be used: K - K-blocks L - L-blocks LL - LL-blocks D - disc brakes F - cast iron blocks	X	
52	Braked weight (t)	The braked weight of the wagon before foreseen deductions, given in tons (rounded down). For P-wagons the column P is to be filled, for G-wagons and M-wagons (Matrosov brakes) the column G is to be filled. In case of Matrosov brakes an additional remark 'Matrosov' is to be made in field 59. In case of inactive brakes a minus '-' sign is to be used	X	
53 ¹	Hand brake holding force (t / kN)	The hand brake holding force of the vehicle in case it has hand brakes, either only in kN or in tons as well as kN (all rounded down). Tons and kN to be separated by a slash '/' sign. In case of no hand brakes available, a minus '-' sign is to be filled in	X	

Interoperable wagon list containing safety-critical data for the train run as per IRS 40472				
Field number	Field name	Field description	Mandatory	Optional
54	RID	The RID UN (see Abbreviations and Symbols - page 5) Numbers, Hazard Numbers and Danger Labels applying to the goods in the wagon are mandatory. It's also mandatory to indicate dangerous goods packed in limited quantities in excess of 8 tonnes (LQ - see Abbreviations and Symbols). In that case, a remark "LQ" must be added to column "UN No". In case several RID codes apply then additional rows shall be used for the same wagon. In case of no RID a minus sign '-' is to be used	X	
55	Exceptional consignment	Tick if there is a shipment in the wagon that is marked as an exceptional consignment, i.e., there is a permission number present. In case it is an exceptional consignment only in some countries, a remark is to be made in field 59	X	
56	Destination	The destination station name of the wagon, written in text	X	
57	v_{max} , km/h	The maximum permitted speed of the wagon according to the wagon (**, ***) and load condition, given in km/h. Statements about potential country-specific speed limits are to be filled in field 59	X	
58	Required line classification	The railway line classification required for this wagon according to its loading condition. For the range of A-C only a letter (e.g., C) is to be given, starting from line class D also a number (e.g., D2) must be provided	X	
59	Remarks	Any further remarks about the wagon in free text form, e.g., statements about goods with specific risk on board or potential country-specific speed limitations for certain wagons		X
60	Date of issue	The date on which the wagon list was created	X	
61	Time of issue	The time at which the wagon list was created	X	
62	Issued by	The name and signature of the person who created the wagon list. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document	X	
63	Date of review	The date on which the wagon list was either enhanced, corrected or additionally checked, should this be necessary		X
64	Time of review	The time at which the wagon list was either enhanced, corrected or additionally checked, should this be necessary		X

Interoperable wagon list containing safety-critical data for the train run as per <i>IRS 40472</i>				
Field number	Field name	Field description	Mandatory	Optional
65	Reviewed by	The name and signature of the person who performed the enhancement, correction or additional check. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document		X
66	Remark	An explanation in free text describing the reason why fields 63-65 were used		X

1. Holding force of a vehicle compared with the lower value obtained from a comparison of the holding force indicated on the wagon with the share of gross weight resting on hand braked wheelsets.

Appendix C: Brake sheet and wagon list template

This template is an example of how an interoperable braking sheet and wagon list can be laid out. It has been developed and agreed on the context of the Unified Braking Scheme project and works as basis for RU IT adaptation & implementation.

Train number: _____ Departure date: _____									
International brake sheet and wagon list								5. Country code	
1. Issuing RU		2. Train number		3. Departure date		6. Train profile:			
4a. Valid from station			4b. Valid to station			7. v_{max} , km/h:			
Train parameters									
8. Remarks during the journey					9. Special features of the train				
<input type="checkbox"/> 10. Dangerous goods in train		16a. Valid from station		16b. Valid to station		16c. Valid from station		16d. Valid to station	
<input type="checkbox"/> 11. Exceptional consignment in train		17a. # of first wagon		18a. # of last wagon		17c. # of first wagon		18c. # of last wagon	
<input type="checkbox"/> 12. Additional documents about restrictions added		a		b		a+b		c	
<input type="checkbox"/> 13. Waste shipments in train		Active locomotives		Wagons and inactive locomotives		Total		Wagons and inactive locomotives	
19. Count, pcs									
20. Length, m									
21. Hand brake holding force, t / kN									
22. Braked weight after deduction, t									
23. Gross weight, t									
14. Required line classification		15. Brake setting		24. Available brake %:			24. Available brake %:		
		<input type="radio"/> G <input type="radio"/> P <input type="radio"/> GP <input type="radio"/> P+LL <input type="radio"/> R		25. Required brake %:			25. Required brake %:		
				26. Missing brake %:			26. Missing brake %:		
				27. % of braked weight braked by cast iron blocks:			27. % of braked weight braked by cast iron blocks:		
Active locomotives in train									
28. Seq.	29. Number	30. Class	31. # of axles	32. Length over buffers, m	33. Gross weight, kg	34. Brake block type	35. Brake position	36. Braked weight, t	37. Remarks
1									
2									
3									
4									
5									
38. Date of issue		39. Time of issue		40. Issued by					
41. Date of review		42. Time of review		43. Reviewed by				44. Remark	

Field explanations:

1.	The RU issuing the brake sheet.	
2.	The number of the train valid at departure from the "Valid from station" (field 4a). This information is repeated in the header of each page.	
3.	The departure date of the train valid at departure from the "Valid from station" (field 4a). This information is repeated in the header of each page.	
4a.	The station from which this brake sheet and wagon list is valid, written in text.	
4b.	The station until which this brake sheet and wagon list is valid, written in text.	
5.	ISO codes of countries in which this brake sheet is valid, fields 6 and 7 are to be filled according to the given country.	
6.	The train index (e.g. ME100). In the absence of index the timetabled train type (e.g. P or G). In Switzerland the "Zugreihe" and "Bremsreihe" (e.g. AS0). Provided per country.	
7.	The maximum technically allowed speed of this train consist by considering the train profile and speed limits applied to vehicles in the train. Provided per country.	
8.	Space for remarks about incidents and observations during the journey.	
9.	Direct explanations or references to attached documents that describe the special features of the train.	
10.	Tick if there are any goods with RID marking in the train.	
11.	Tick if there are any shipments in the train that are marked as exceptional consignment (i.e. permission number present).	
12.	Tick if there are additional documents added to the brake sheet that describe further restrictions applying to the train.	
13.	Tick if there are waste transports in train.	
14.	The highest railway line classification required by vehicles present in the train according to their loading condition. For the range of A-C only a letter (e.g. C) is to be given, starting from line class D also a number (e.g. D2) must be provided.	
15.	Brake setting of the train to be ticked: "G", "P", "GP" (locomotives in G and wagons in P), "P+LL" (Long Locomotive) or "R".	
16a. / 16c.	The station from which the train parameters are valid, written in text.	Fields 16-18 are to be used for indicating the stretch for which the data in fields 19-27 is valid, should train parameters change en route. Several stretches are to be used in case the creation of a new brake sheet is not possible.
16b. / 16d.	The station until which these train parameters are valid, written in text.	
17a. / 17c.	The number of the first wagon after the locomotive on the given stretch.	
18a. / 18c.	The number of the last wagon in the wagon rake on the given stretch.	
19.	The counted number of vehicles in train.	Data to be provided for: a / c) active locomotives in the train b / d) wagons and inactive locomotives in the train a+b / c+d) the overall total of vehicles in train
20.	The summed length over buffers of vehicles in the train, given in whole meters (rounded up).	
21.	The summed hand brake holding force of vehicles that have hand brakes, either only in kN or in tons as well as kN (rounded down). Tons and kN are to be separated by a slash '/' sign. The hand brake holding force of the locomotive may only be included in case it remains coupled to the train at all times when stopped en route.	
22.	The braked weight of vehicles in train after foreseen deductions, given in whole tons (rounded down). In case electrodynamic brakes (E-brakes) are also included in the braked weight calculation, the value with and without E-brakes is to be provided, separated by a slash '/' sign. E-brakes may only be included in case the rolling stock used and the infrastructure conditions ensure that E-brakes are available at all times when braking a moving train.	
23.	The gross weight of vehicles in the train, given in whole tons (rounded up).	
24.	The available brake ratio of this train, given in %.	
25.	The highest required brake ratio on the foreseen route for this train, given in %.	
26.	The missing brake ratio, given in % points. To be filled in case the available brake ratio remains below the highest required brake ratio, thus demanding operation in degraded mode.	
27.	The proportion of braked weight that is provided by wagons braked by using cast iron blocks, given in %.	
28.	The sequence of the locomotive in train consist, starting from the head of the train. Counting starts with 1.	
29.	Locomotive EVN number according to the UIC standard coding, digit groups (1-2, 3-4, 5-8, 9-11 and 12) are to be separated by a space.	
30.	Locomotive class.	
31.	The counted number of axles the locomotive has.	
32.	Length over buffers of the locomotive, given in meters with two digits after comma.	
33.	Gross weight of the locomotive, given in kg.	
34.	The type of brake blocks used in the locomotive, abbreviations to be used: K - K-blocks L - L-blocks LL - LL-blocks D - disc brakes F - cast iron blocks	
35.	The brake position set at the given locomotive (G, P, E), several brake systems to be marked by using a plus '+' sign (e.g. P+E).	
36.	The braked weight of the locomotive as applicable for the given brake position, given in tons (rounded down).	
37.	Any further remarks about the locomotive in a free text form (e.g. a comment that the locomotive is at the rear or middle of the train).	
38.	The date on which the brake sheet was created. This field is mandatory.	
39.	The time at which the brake sheet was created. This field is mandatory.	
40.	The name and signature of the person who created the brake sheet. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document. This field is mandatory.	
41.	The date on which the brake sheet was either enhanced, corrected or additionally checked, should this be necessary.	
42.	The time at which the brake sheet was either enhanced, corrected or additionally checked, should this be necessary.	
43.	The name and signature of the person who performed the enhancement, correction or additional check. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document.	
44.	An explanation in free text describing the reason why fields 41-43 were used.	

Field explanations:

45.	The sequence of the wagon in the wagon rake (active locomotives are not counted). Counting starts with 1.
46.	Wagon EVN number according to the UIC standard coding, digit groups (1-2, 3-4, 5-8, 9-11 and 12) are to be separated by a space.
47.	The counted number of axles the wagon has.
48.	Length over buffers of the wagon, given in meters with two digits after comma.
49.	Weight of load on the wagon, given in kilograms.
50.	Gross weight of the wagon, given in kilograms.
51.	The type of brake blocks used in the wagon, abbreviations to be used: K - K-blocks L - L-blocks, LL - LL-blocks D - disc brakes F - cast iron blocks
52.	The braked weight of the wagon before foreseen deductions, given in tons (rounded down). For P-wagons the column P is to be filled, for G-wagons and M-wagons (Matrossow brakes) the column G is to be filled. In case of Matrossow brakes an additional remark 'Matrossow' is to be made in field 59. In case of inactive brakes a minus '-' sign is to be used.
53.	The hand brake holding force of the vehicle in case it has hand brakes, either only in kN or in tons as well as kN (all rounded down). Tons and kN to be separated by a slash '/' sign. In case of no hand brakes available, a minus '-' sign is to be filled in.
54.	The RID UN Numbers, Hazard Numbers and Danger Labels applying to the goods in the wagon are mandatory. It's also mandatory to indicate dangerous goods packed in limited quantities in excess of 8 tonnes (LQ). In that case, a remark „LQ“ must be added to column „Un No“. In case several RID codes apply then additional rows shall be used for the same wagon. In case of no RID a minus sign '-' is to be used.
55.	Tick if there is a shipment in the wagon that is marked as an exceptional consignment, i.e. there is a permission number present. In case it is an exceptional consignment only in some countries, a remark is to be made in field 59.
56.	The destination station name of the wagon, written in text.
57.	The maximum permitted speed of the wagon according to the wagon (**, ***) and load condition, given in km/h. Statements about potential country-specific speed limits are to be filled in field 59.
58.	The railway line classification required for this wagon according to its loading condition. For the range of A-C only a letter (e.g. C) is to be given, starting from line class D also a number (e.g. D2) must be provided.
59.	Any further remarks about the wagon in free text form, e.g. statements about goods with specific risk on board or potential country-specific speed limitations for certain wagons.
60.	The date on which the wagon list was created. This field is mandatory.
61.	The time at which the wagon list was created. This field is mandatory.
62.	The name and signature of the person who created the wagon list. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document. This field is mandatory.
63.	The date on which the wagon list was either enhanced, corrected or additionally checked, should this be necessary.
64.	The time at which the wagon list was either enhanced, corrected or additionally checked, should this be necessary.
65.	The name and signature of the person who performed the enhancement, correction or additional check. Alternatively, an ID code or any other reference can be used that ensures the user is traceable in the IT system of the RU issuing the document.

Appendix UIC-1 - Regulatory listing

This appendix is an integral part of the following IRS:

IRS-40472:2022,Ed1: Braking sheet, consist list for locomotive drivers and requirements for the exchange of data necessary to the operation of freight rail services

The table(s) below list(s) the regulatory source(s) specifically citing this IRS of which UIC has been made aware by the relevant bodies. If applicable, users shall check the validity of this table(s) with the source indicated.

Table UIC-1.1: European regulatory sources citing *IRS-40472:2022, Ed. 1*

Source: title	Source: passage	IRS: passage targeted	Remarks
none			

Bibliography

The following documents are recommended for the understanding or for more in-depth information about subjects treated in this IRS:

1. UIC Leaflets

International Union of Railways (UIC)

UIC Leaflet 404-2: Compendium of the data to be exchanged between Railway Undertakings (RUs) for the purpose of conveying freight traffic, 5th edition, May 2015

UIC Leaflet 419-2: Systematic numbering of international freight trains, 3rd edition, April 2011

2. Miscellaneous

International Rail Transport Committee (CIT)

Convention concerning International Carriage by Rail (COTIF) - Appendix C - Regulation concerning the International Carriage of Dangerous Goods by Rail (RID), Valid from 1 January 2013

European Commission (EC)

2019/773/EU: Commission Implementing Regulation of 16 May 2019 on the technical specification for interoperability relating to the operation and traffic management subsystem of the rail system within the European Union

CEN (European Committee for Standardization)

EN 14198+A1: Railway applications - Braking - Requirements for the brake system of trains hauled by locomotives

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