



**INF. 5**

9 November 2017

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**RID:** 8<sup>th</sup> Session of the RID Committee of Experts' standing working group  
(Utrecht, 20 to 24 November 2017)

**Subject:** Update of UIC Leaflet 201 "Carriage of dangerous goods – Emergency planning guidance for rail marshalling yards"

**Proposal transmitted by the International Union of Railways (UIC)**

## Introduction

1. UIC Leaflet 201 is currently being converted into IRS (International Railway Solution) Guidelines, and its date of application will correspond to that of RID 2019. The modification of references to this UIC leaflet in RID 1.11 is proposed in informal document INF.6.
2. UIC Leaflet 201 was introduced in 2003 and was updated for the first time in 2012 to take account of experience gained and developments in European legislation. A further update is required in order to incorporate use of this guide into the railway safety management system in particular.
3. The fundamental changes apply mainly to Chapter 1, "Requirements and guidance". They are described in the annex to this document. The official reference of the CSM regulation on SMS will be integrated in 2018 when it is available (publication envisaged in the first half of 2018).
4. A number of other corrections are planned with the aim of streamlining or simplifying the text, and some editorial changes will also be made when the UIC Leaflet is converted to IRS (see informal document INF.6).

## Proposal

5. UIC requests that the RID Committee of Experts' standing working group agree on the amendment of UIC Leaflet 201, as indicated in paragraph 3.
6. The guide can be presented in its new IRS format at the next meeting.

## 1. Requirements and guidance

### 1.3 - European Union Directives

Within the EU, *Directives 2016/798/EU and 2016/797/EU* (see Bibliography - page 56) define the general regulatory framework for the safety and interoperability of the Community rail system. In particular, this general framework requires railway undertakings to introduce safety management systems covering the preparation of emergency planning for the management of accident situations. Accidents involving the carriage of dangerous goods in marshalling yards must be considered within this general framework. The [European Union Agency for Railways](#) has published application guidelines for safety management systems, which also contain guidance for the preparation of emergency planning. These guides are available in various languages from the Agency's website.

## Bibliography

### 1. European standards

#### European Union (EU)

*Directive (EU) 2016/798 of the European Parliament and of the Council of 11 May 2016 on railway safety, OJ L 138, 26.5.2016*

*Directive (EU) 2016/797 of the European Parliament and of the Council of 11 May 2016 on the interoperability of the rail system within the European Union, OJ L 138, 26.5.2016*

*Commission Directive (EU) 2016/2309 of 16 December 2016 adapting for the fourth time the Annexes to Directive 2008/68/EC of the European Parliament and of the Council on the inland transport of dangerous goods to scientific and technical progress, OJ L 345, 20.12.2016*

*Directive 2012/18/EU of the European Parliament and of the Council of 4 July 2012 on the control of major-accident hazards involving dangerous substances, amending and subsequently repealing Council Directive 96/82/EC, OJ L 197, 24.7.2012*

*Commission Delegated Regulation (EU) .../... of ... establishing common safety methods on safety management system requirements pursuant to Directive (EU) 2016/798 of the European Parliament and of the Council and repealing Commission Regulations (EU) No 1158/2010 and (EU) No 1169/2010, OJ L ...*

*Commission Implementing Regulation (EU) No 402/2013 of 30 April 2013 on the common safety method for risk evaluation and assessment and repealing Regulation (EC) No 352/2009, OJ L 121, 3.5.2013*

*Commission Implementing Regulation (EU) 2015/1136 of 13 July 2015 amending Implementing Regulation (EU) No 402/2013 on the common safety method for risk evaluation and assessment, OJ L 185, 14.7.2015*

*Commission Regulation (EU) 2015/995 of 8 June 2015 amending Decision 2012/757/EU concerning the technical specification for interoperability relating to the 'operation and traffic management' subsystem of the rail system in the European Union, OJ L 165, 30.6.2015*

**European Union Agency for Railways (ERA)**

*Guide on the safety management system requirements for safety certification or safety authorisation, 2018*

*Guide on a management maturity model, 2018*

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